

# Anderson Localization of Ballooning Modes, Quantum Chaos and the Stability of Compact Quasiaxially Symmetric Stellarators

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NCSX Design Team**



# Motivation

- Investigate ballooning stability of National Compact Stellarator Experiment (NCSX)  
Quasiaxially symmetric stellarator (QAS)  
Predicted to have good stability and particle transport  
Ballooning or high  $n$  ( $>7$ ) kink limited at 4% beta
- Is there global stability above the design point, due to kinetic stabilization ?

## OUTLINE

- I. Method:  
Ballooning stability calculations above 4%  $\beta$
- II. Results:  
Eigenvalue isosurfaces too complex for WKB ray tracing  
Must use 3D codes for global stability

# NCSX National Compact Stellarator Experiment

- Major developments in 3D computation in last 5 years:

New and extended codes for 3D configurations:

ORBIT3D, CAS3D, TERPSICHORE, COBRA, STELLOPT, PIES

- Use of new tools

Led to good physics and engineering properties in a QAS

$R=1.42$  m,  $R/\langle a \rangle = 4.4$ ,  $B=1.2-1.7$  T,

6MW NBI, H and D fuelling

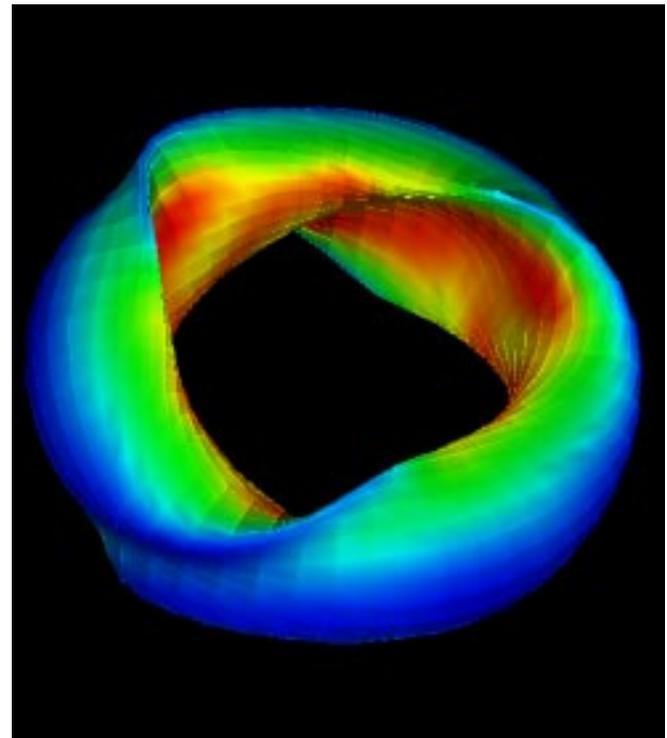
- Good confinement:

Quasisymmetry, drift orbit optimized

(Nührenberg (1994), Garabedian)

- MHD Stable

Passively stable at 4% beta to kink, vertical, Mercier, ballooning modes without feedback control or conducting wall



# Method: Ballooning Mode Calculations and WKB Analysis

- Aim: characterize global high  $n$  MHD instability from properties of 1-d ballooning solutions
- Equilibrium beta scan with VMEC  
Ballooning mode calculations: VVBAL module of TERPSICHORE
- Construct datacube of eigenvalues  $\lambda(s, \theta_k, \alpha)$   
radial variable  $s$ , ballooning parameter  $\theta_k$ , field line variable  $\alpha$   
grid (126×21×101)

Isosurfaces of the eigenvalues

Constrained by symmetry: configuration and eikonal equation

- WKB Ray tracing  
Can characterize global eigenfunction, Dewar and Glasser (1983)  
Semiclassical quantization or quantum chaotic scattering method to estimate unstable maximum toroidal  $n$ .

# Ballooning Mode Calculations

- **Linear, ideal MHD energy principle:**

Plasma energy responds to deformation of plasma flux surfaces,  $\xi(s)$

**Energy minimization, radially local limit:** local to a given field line.

Large  $k_{\perp}$ . Small  $k_{\parallel}$ . 2D space perp to field line.

Asymptotically, for toroidal mode number  $n \rightarrow \infty$ ,  $\Rightarrow$  a second order ODE

- **Ballooning equation** for eigenvalue in Boozer magnetic coordinates

$$\partial/\partial\theta [(C_p + C_s (\theta - \theta_k) + C_q (\theta - \theta_k)^2)\partial\xi/\partial\theta] + (1-\lambda)[d_p + d_s (\theta - \theta_k)]\xi = 0$$

Coefficients  $\{C_p, C_q, d_p, d_s\}$  depend on equilibrium magnetic geometry

- Displacement of the flux surface increases with a notional growth rate

$$\xi \propto \exp(\sqrt{\lambda}t); \text{ instability if positive: } \lambda > 0$$

Instability depends on field line curvature,

driven by strong pressure gradients, stabilized by field shear

Eigenfunction  $\xi$  of axisymmetric case maximum at  $\theta \sim \theta_k$

# Ballooning equation

$$\frac{\partial}{\partial \theta} [(C_p + C_s (\theta - \theta_k) + C_q (\theta - \theta_k)^2) \frac{\partial \xi}{\partial \theta}] + (1-\lambda)[d_p + d_s (\theta - \theta_k)] \xi = 0$$

$$C_p = g_{ss} \sqrt{g} - B_s^2 / (\sqrt{g} B^2)$$

$$C_s = 2q'(s)/q(s) [J(s) B_s \sqrt{g} B^2 - g_{s\theta} / (\sqrt{g} B^2)]$$

$$C_q = [q'(s) \psi'(s)]^2 |\nabla s|^2 / (\sqrt{g} B^2)$$

$$d_p = [p'(s)/\psi'(s)] [\sqrt{g} p'(s)/B^2 + [J(s) \psi''(s) - I(s) \Phi''(s)]/B^2 + \\ -\partial \sqrt{g} / \partial s + \sqrt{g} B \cdot \nabla (B_s/B^2)]$$

$$d_s = - [q'(s)/\psi'(s)] \sqrt{g} B \cdot \nabla (j \cdot B/B^2)$$

Coefficients  $d_s$  and  $C_s$  of the linear secular terms  
are proportional to the (global magnetic) shear

Coefficient of the quadratic secular term,  $C_q$ ,  
is proportional to the square of the shear.

Radial coordinate,  $s$ : edge normalized toroidal flux, proportional to  $r^2$ .

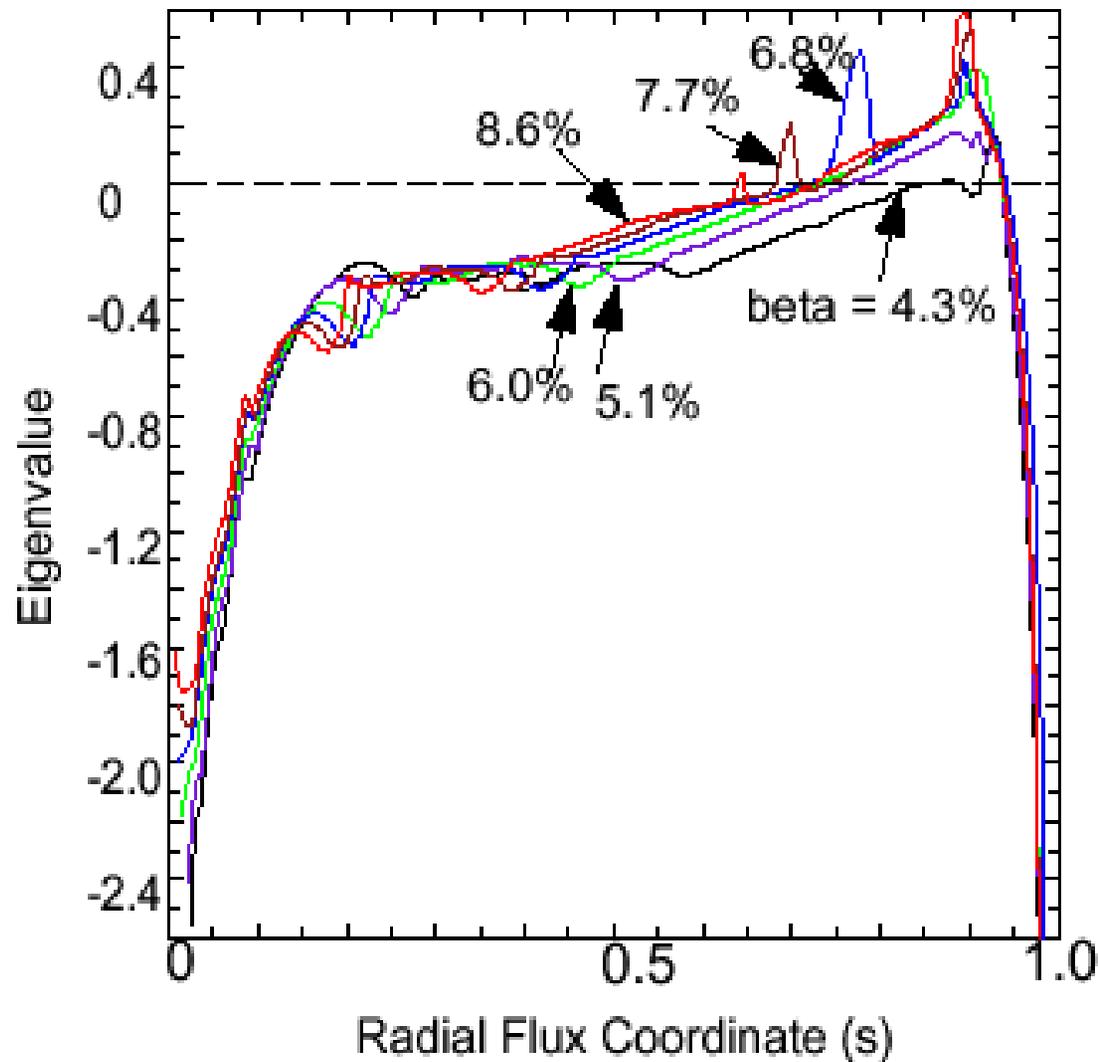
$\theta_k$ : related to the direction of the mode wave vector

Solutions parametrized by field line variable,  $\alpha = \zeta - q\theta$

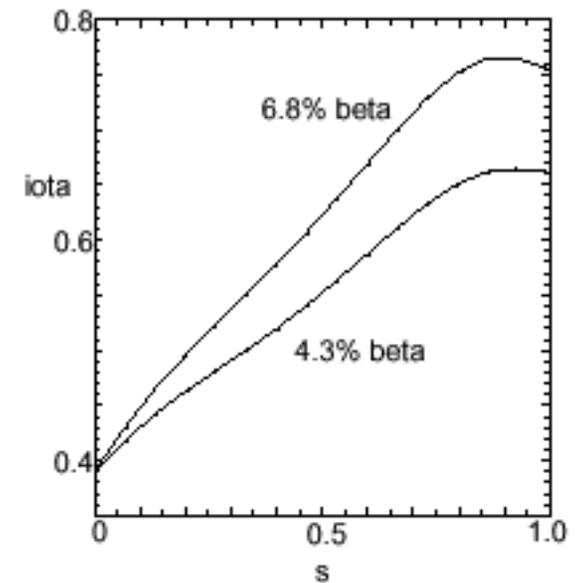
Secular terms cause localization when the shear is nonzero:  
very roughly the eigenfunction is localized around  $\theta \sim \theta_k$

# $\beta$ Scan of NCSX, with fixed boundary shape

Ballooning Eigenvalues ( $\theta_k, \alpha=0$ )



Vanishing shear  
near plasma edge



# 7% $\beta$ Tokamak

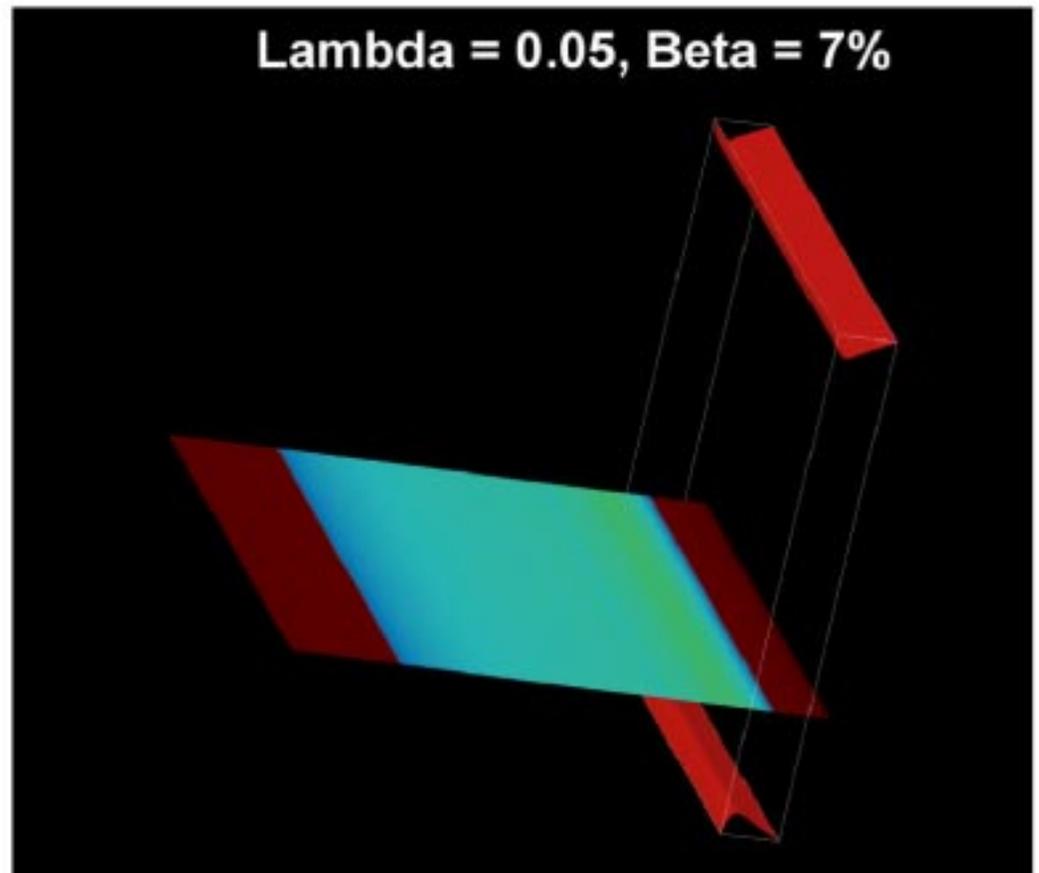
QAS with only  $n=0$  boundary components

No alpha dependence

Isosurfaces and  $(s, \alpha)$  plane at  $\theta_k \sim \pi$

Stable Isosurface

Unstable Isosurface



**Ballooning Mode Eigenvalue Isosurfaces for a  
Quasi-axially Symmetric Stellarator,  
National Compact Stellarator Experiment (NCSX),  
4.3% beta equilibrium, above the design point (4.1%)**

Axes: toroidal flux,  $s$ ; field line variable  $\alpha$ , ballooning parameter  $\theta_k$

Scan from stable (negative) to unstable (positive) eigenvalues.

Zoom to near plasma edge,  $s=0.8$  to  $1.0$

Marginal point at eigenvalue = 0.

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# Anderson Localization - Condensed Matter

- Schrödinger equation:

$$[d^2/d\theta^2 + E - V(\theta)]\Psi(\theta) = 0$$

- Condensed matter example:

crystal, electron wavefunctions,  $V$  modified by “impurity doping”

Periodic potential => periodic solution

Small perturbation of potential => nearly periodic eigenfunction?

No.

Random disturbance of the potential

=> localization of eigenfunctions,

exponentially localized in space as disorder increases

- P. W. Anderson discovery, condensed matter (1958, Nobel prize 1977)  
Important also in acoustics, nonlinear optics, field theories

**Broken symmetry => localization**

# QAS: Anderson Localization at 4.3% $\beta$

- If global shear = 0, axisymmetric localization effects vanish

Broken axisymmetry => localization 3D QAS configuration  
analogous to Anderson localization in condensed matter

- Cast the ballooning equation into Schrodinger equation form:

$$[d^2/d\theta^2 + E - V] \mathcal{A}^{1/2} \xi = 0$$

$$\mathcal{A} = 1/J |\nabla\psi|^2 + [|\nabla\psi|^2 / J_{ac} B^2] [\mathcal{R} + (\partial_\psi q)(\theta - \theta_k)]^2$$

$$\mathcal{R} = (q \nabla\psi \cdot \nabla\theta - \nabla\psi \cdot \nabla\zeta) / |\nabla\psi|^2 \quad \text{Integrated residual shear}$$

$V$  and  $\mathcal{A}$  depend on local parameters; vary with  $\theta$

- Toroidal localization in stellarators (does not occur in tokamaks)

LHD (Chen, Nakajima, Okamoto 1999)

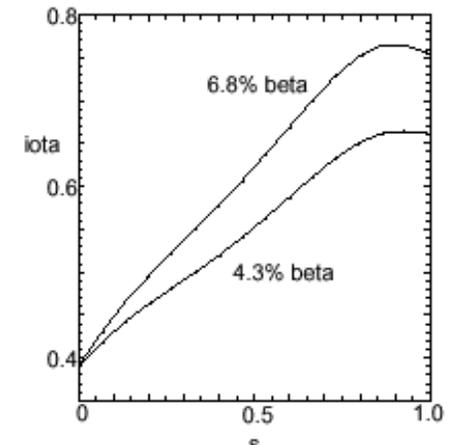
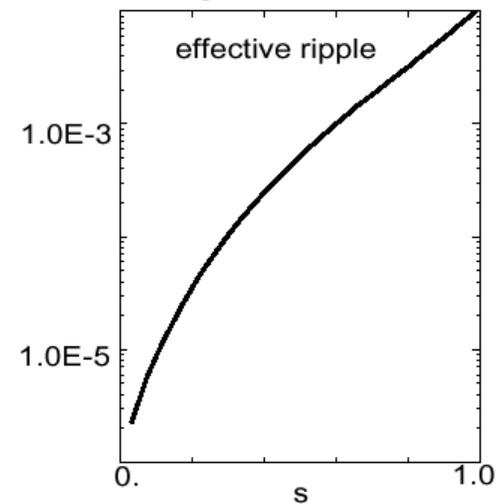
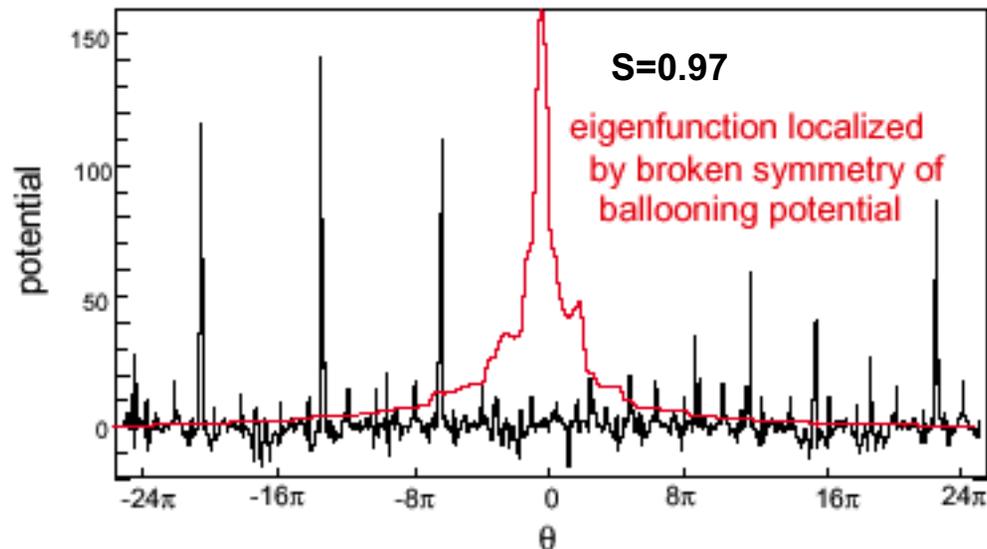
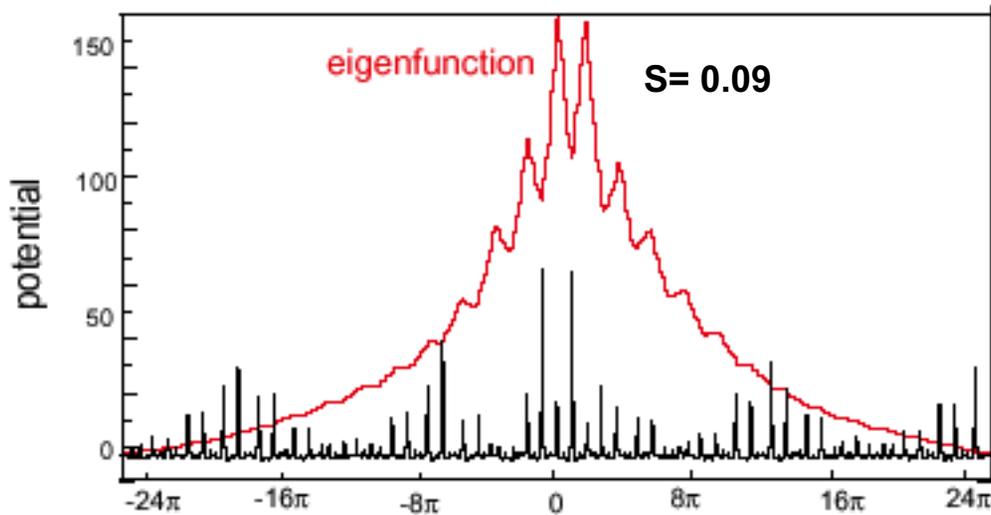
H1 Helic (Cuthbert, Dewar 2000) Quasiperiodicity of "potential"

HSX (Hegna, Hudson 2001)

Quasiperiodicity of local parameters: incommensurate periods of toroidal and poloidal variations on irrational  $q$  field line

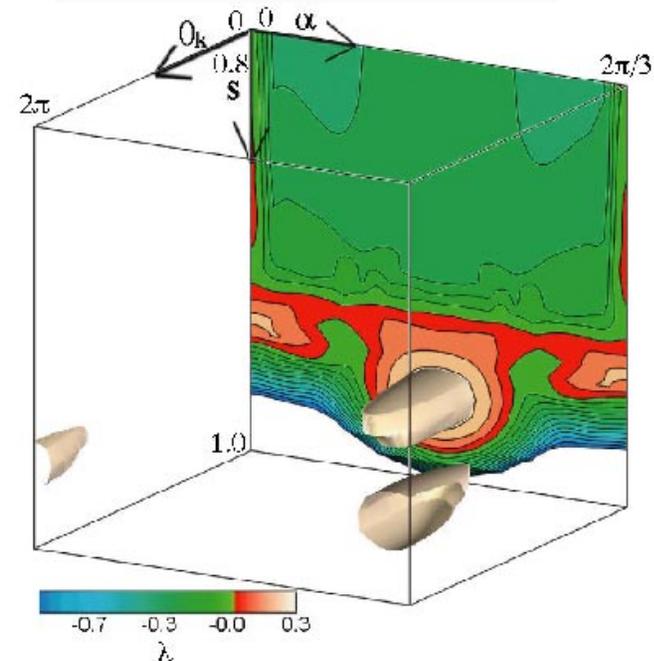
# Anderson Localization in QAS

Effective Ripple: increases stochastic losses of fast particles in stellarators; measure of “disorder” - drives eigenfunction localization



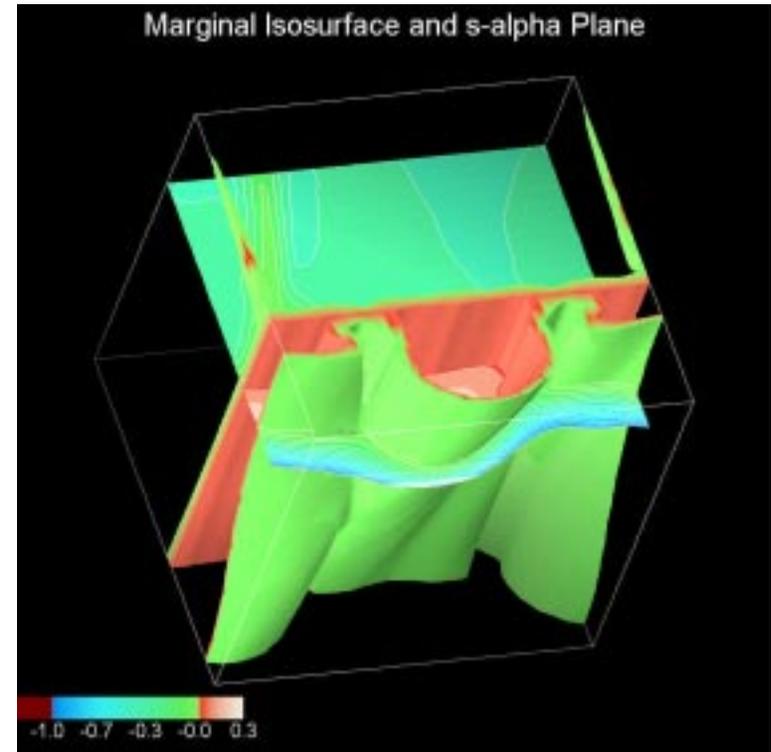
# “Quantum Chaos”

- At 4.3% beta, highest eigenvalues  $\lambda$ , spherical isosurfaces, indicative of strong “quantum chaos”
- Description for the paths of rays of the ballooning equation does not mean that the plasma behavior is chaotic
- Mathematics of a quantum chaotic scattering problem can be used for instabilities far above the marginal point of the equilibrium
- Use density of states method from quantum chaotic scattering physics to estimate  $n_{\max}$



# Will the Ballooning Mode Limit QAS Beta?

- Ideal MHD ballooning theory for  $k_{\perp}L_{eq} \gg 1$ ,  $(k_{\perp}\rho_i)^2 \ll 1$   
Estimate  $k_{\perp}$  from isosurfaces,  
**Beta limit: marginal isosurface,  $\lambda=0$**   
Ray tracing in progress to derive semiclassical quantization conditions
- For QAS: topologically cylindrical surfaces connected by plane ( $\theta_k, \alpha$ )  
Other stellarator cases different: topologically cylindrical surfaces aligned along  $s$  or  $\alpha$ , not along  $\theta_k$ .
- Isosurface complexity of QAS  
near design point =>  
ballooning codes (COBRA, VVBAL)  
and WKB method impractical for  
QAS global stability estimate
- May need fully 3D, ideal MHD codes  
(CAS3D, TERPSICHORE)  
to assess high  $n$  stability



# Conclusions

- Applied hybrid local/global WKB approach to QAS ballooning stability
  - Identified distinctive, unique eigenvalue isosurfaces for stable and unstable spectra of QAS and tokamak
  - Spherical isosurfaces at high eigenvalues: quantum chaos
  - Anderson localization of ballooning eigenfunction found near zero shear for high effective ripple
- Ballooning calculations of beta limit are always pessimistic: local calculations may not reflect full plasma response. What will be the experimental beta?
- WKB ray tracing method to identify global internal, high n instability appears unworkable for the QAS
- **Fully 3D MHD codes appear necessary for high n stability calculations of QAS  $\beta$**

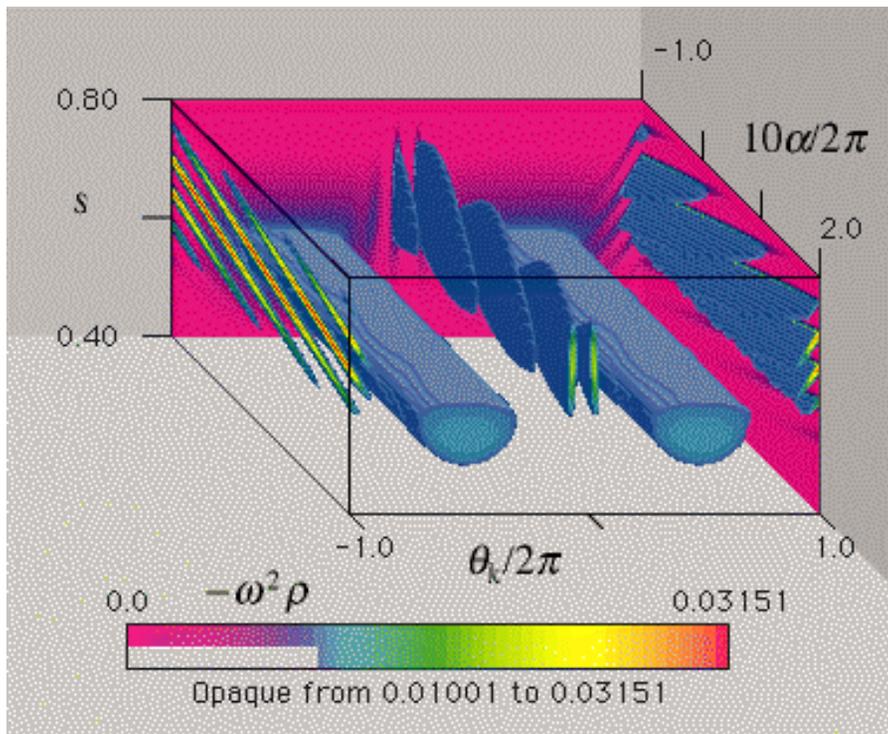
# Further Work

- Can ray tracing be carried out with complex marginal isosurfaces, including all possible ray trajectories in a renormalized mathematics?
- Will grid refinement change isosurfaces? Do other energy normalizations of the ballooning equation yield different structures?
- Can semiclassical quantization conditions be formulated?
- How will the topology of the marginal isosurfaces and ballooning eigenfunction localization affect anomalous transport?
- Can optimization of the eigenvalue datacube properties be generalized and extended to improve device performance?

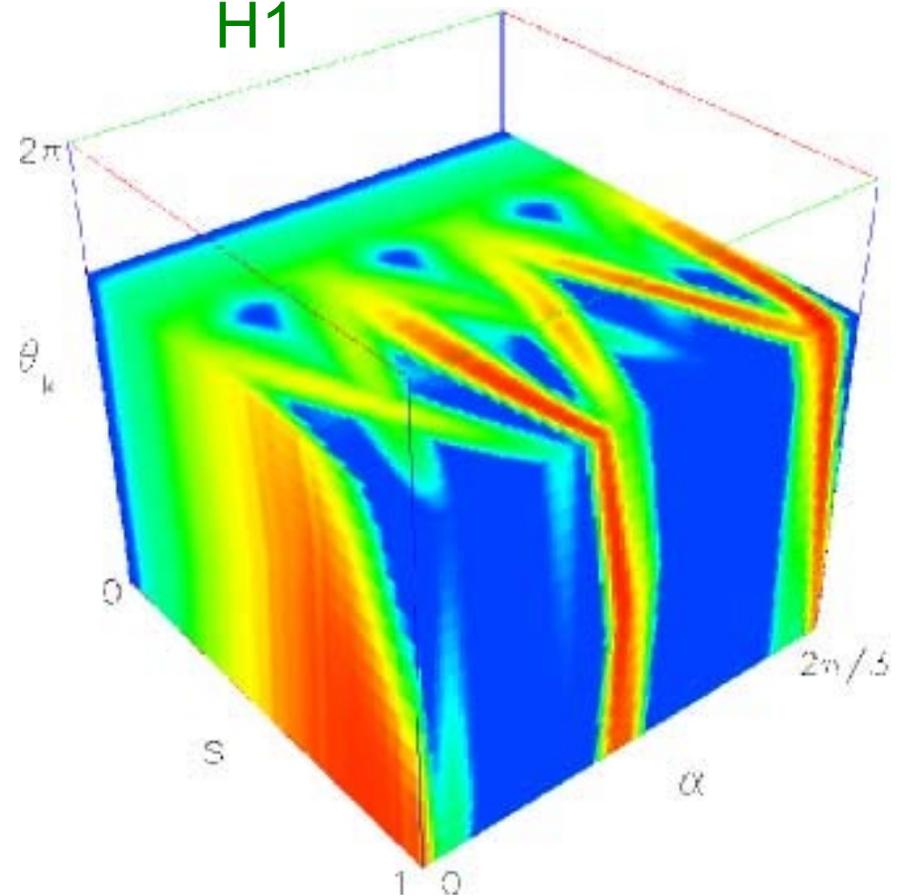
# Ballooning eigenvalue datacubes

$\lambda(s, \alpha, \theta_k)$  Dewar, Cuthbert

LHD-like stellarator



H1

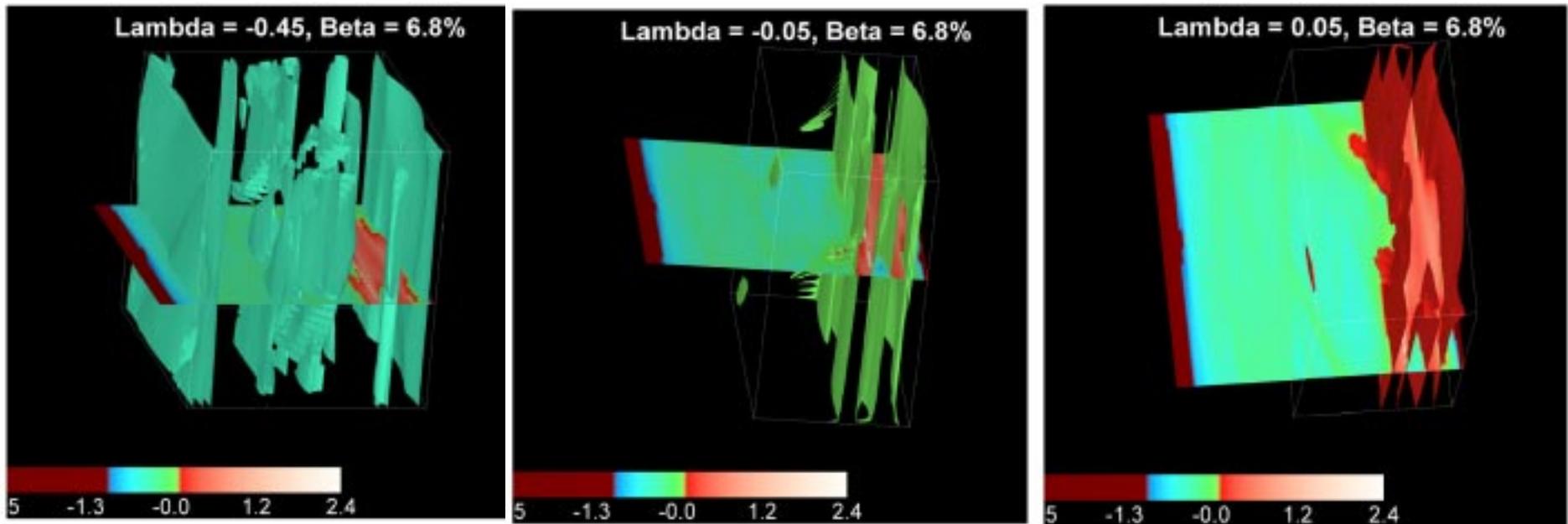


# 6.8% $\beta$ QAS

More complex; features similar to 4.3%  $\beta$

Stable Isosurfaces

Unstable Isosurface



Isosurfaces and  $(s, \alpha)$  plane at  $\theta_k \sim \pi$

# Results: Four dimensional data set

Datacube:

Eigenvalue  $(s, \theta_k, \alpha)$

Display data:

eigenvalue isosurfaces at stable and unstable energies

3-space axes:

$s$ , the toroidal flux;

$\theta_k$  the ballooning parameter

$\alpha$ , field line label

**Tokamak:** no  $\alpha$  dependence in datacube

**Stellarators:** more complex: H1-NF, LHD

**QAS:** very complex - movie follows

which scans eigenvalue isosurfaces from stable to unstable energies