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## Development of Moment Approach for Neoclassical Transport in Stellarators

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## Outline

#### Introduction

Developments of the stellarator moment equation approach. From Hirshman and Sigmar (1981), Shaing and Callen (1983) to Sugama and Nishimura (2002)

Three mono-energetic viscosity coefficients  $M^*$  (parallel viscosity against flow),  $N^*$ (driving force for the flows),  $L^*$ (radial diffusion)

Although we do not show today any calculation examples on actual existing or planned helical/stellarator devices, many application examples (QPS, HSX, NCSX, W7X, LHD) by Dr.D.A.Spong were already reported by the QPS group. *Fusion Sci.Technol* **45**, 15(2004), **46**, 215(2004), in APS 2004, *Phys.Plasmas* **12**, 056114(2004), *Nucl.Fusion* **45**, 918 (2005), in 15<sup>th</sup> ISW 2005, *Sellarator News* **11** (Nov. 2005), in past teleconferences But the DKES code were used in these examples to obtain *M*\*, *N*\*, *L*\*

#### Analytical methods to obtain $M^*$ , $N^*$ , $L^*$ for applications in an integrated simulation system

please see, S.Nishimura, et al., Fusion Sci. Technol. 51, 61(Jan. 2007)

- (1) Physical meaning of a constant  $H_2$  introduced by Shaing, et al. in their bootstrap (BS) current theories.
- (2) A role of numerical solvers for the DKE with the pitch-angle-scattering (PAS) collision operator as benchmark tools to test the analytical formulas for the neoclassical viscosities.
- (3) A role of bounce- or ripple-averaging codes in the integrated simulation.

## Outline (2)

 To include the Pfirsch-Schlüter transport in general 3-D configurations Extension of tokamak P-S transport theory based on the moment approach.
 → Impurity transport studies.

Some suggestions given by CHS/LHD experimental results for this extension.

(1) spontaneous parallel flows of collisional impurity

(2) poloidal variation of the plasma density

electrostatic potential being a flux surface quantity.

This kind of measurements have to be done also in future advanced stellarators (NCSX, QPS)

#### Steps toward this development

discussions on the collaboration plan for the benchmarking using configuration datum of NCSX, QPS, and other devices in the U.S.

- (1) mono-energetic viscosity coefficients  $M^*$ ,  $N^*$ ,  $L^*$  (DKES, MonteCarlo) (e.g.,  $1/v^{1/2}$  diffusion in CHS-qa)
- (2) total neoclassical fluxes  $\Gamma_{\rm a}$ ,  $q_{\rm a}$ ,  $J_{\rm BS}$

for arbitrary multi-ion species plasmas

(other kinetic codes in the U.S.)

#### Summary, Concluding Remarks



Why do we discuss now on the moment method by Hirshman and Sigmar?

When the magnetic flux surface is formed....

- (1) We have to calculate all of the contributions of circulating, toroidally-trapped, ripple-trapped particles.Non-bounce-averaged motion of these particles make parallel particle and heat flow fluxes such as the bootstrap(BS) currents and the Pfirsch-Schlüter(P-S) currents. And therefore the particle, momentum, and energy balances in these flows have to be taken into account.
- (2) Because of a characteristic of the perturbations corresponding to the ripple diffusions  $\mathbf{b} \cdot \nabla G_{Xa}^{(1/\nu)} = 0$ , the theories and/or codes for the ripple diffusions and those for the flows had been constructed independently at least until the former half of 1990's.

But an integration and/or unification of these two types of theories is required now.

(For e.g., BS current calculation under the self-consistent ambipolar radial electric fields)



This singularity with respect to t is caused by a fact that circulating, toroidally-trapped particles correspond to the "loss cone" in the bumpy torus. The unification including the bumpy torus is difficult in resent status.

Fortunately, it seems that researchers of the bumpy tori are not included in the participants of this conference !

Therefore, I present here only treatments of configurations with magnetic flux surfaces with finite rotational transforms. (i.e., helical/stellarators)

#### H.Sugama and S.Nishimura, Phys. Plasmas 9, 4637 (2002)

"How to calculate the neoclassical viscosity, diffusion, and current coefficients in general toroidal plasmas" "with magnetic flux surfaces with finite rotational transforms  $t\neq 0$ , and with sub-sonic flows  $\mathbf{u} \circ \nabla \mathbf{u} \approx 0$ ."

## The generalized "correspondence principle" in the "neoclassical" theory

Quantum Mechanics  $(h \rightarrow 0)$ Theory of Relativity  $(c \rightarrow \infty)$ 

Non-symmetric :

Viscous damping of the flows

 $\leftrightarrow$  non-ambipolar

 $\Leftrightarrow$ 

Symmetric (axisymmetric, straight stellarators) : Rigid rotation in the direction of the symmetry

 $\leftrightarrow$  intrinsic ambipolar

In 1960's and 1970's, this characteristic of the neoclassical diffusions in the symmetric systems was often explained as a conservation of the canonical angular momentum of the systems.

"correspondence principle" in our 21 century :  $c_1 \partial B / \partial \theta + c_2 \partial B / \partial \zeta \rightarrow 0$   $\rightarrow$  intrinsic ambipolar

rotations minimizing the viscosities

axisymmetry ( $c_1=0$ ), helical symmetry ( $c_1c_2\neq 0$ ), poloidal symmetry ( $c_2=0$ ).

DKES requires  $B_{mn}$ , but does not require  $R_{mn}$ ,  $Z_{mn}$ ,  $\phi_{mn}$ .





Local structure of the flow pattern

before the flux surface averaging has a winding determined by

 $\nabla \cdot (n_{\mathbf{a}} \mathbf{u}_{//\mathbf{a}}) = -\nabla \cdot (n_{\mathbf{a}} \mathbf{u}_{\perp \mathbf{a}})$ 

(Later, we will consider this structure determining the P-S transport)



Even though it is well known that the radial diffusions are dominated by the turbulent transport, plasma flows along the flux surfaces will be determined by the neoclassical processes. The momentum balance including friction forces for the flows determines impurity accumulation and/or shielding.

In contrast to toroidally rotating tokamaks, however, this winding structure will not be simply determined by the incompressible condition  $\nabla \cdot \mathbf{u}_a = 0$ ,  $\nabla \cdot \mathbf{q}_a = 0$ .

### Integrated Simulation System

(Y.Nakamura, et al., in 15<sup>th</sup> ISW 2005, LHD coordinated research)



#### Moment equations (to the MHD equilibrium $\nabla p = \mathbf{J} \times \mathbf{B}$ and a higher order corresponding to the Grad's 13M approximation)

$$\frac{\partial f_{a}}{\partial t} + \mathbf{v} \cdot \frac{\partial f_{a}}{\partial \mathbf{x}} + \frac{e_{a}}{m_{a}} \left( \mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right) \cdot \frac{\partial f_{a}}{\partial \mathbf{v}} = C_{a}(f_{a}) \cong \sum_{b} C_{ab}(f_{a1}, f_{bM}) + \sum_{b} C_{ab}(f_{aM}, f_{b1})$$

$$n_{a} \equiv \int f_{a} d^{3}\mathbf{v}$$

$$taking \int d^{3}\mathbf{v} \ \mathbf{v} \ v^{n} \text{ and } \int d^{3}\mathbf{v} \ v^{n} \text{ moments of distribution function and this equation itself}$$

$$\frac{\partial n_{a}}{\partial t} = -\nabla \cdot (n_{a}\mathbf{u}_{a})$$
Here we use the particle conservation of  $C_{a}(f_{a})$ 

$$m_{a}n_{a} \left(\frac{\partial \mathbf{u}_{a}}{\partial t} + \mathbf{u}_{a} \cdot \nabla \mathbf{u}_{a}\right) = n_{a}e_{a} \left(\mathbf{E} + \frac{\mathbf{u}_{a} \times \mathbf{B}}{c}\right) + \mathbf{F}_{a1} - \nabla p_{a} - \nabla \cdot \pi_{a}$$

$$T_{a} \equiv p_{a}/n_{a}$$
parallel force balanc neglecting only  $d/dt$ 

$$O_{a} \equiv \int \mathbf{v} v^{2} f_{a} d^{3}\mathbf{v}$$

0

$$\mathbf{Q}_{a} \equiv \int \mathbf{v} v^{2} f_{a} d^{3} \mathbf{v}$$
$$\mathbf{F}_{a1} \equiv m_{a} \int \mathbf{v} C_{a}(f_{a}) d^{3} \mathbf{v}, \quad \sum_{a} \mathbf{F}_{a1} = 0$$

(momentum conservation)

$$\mathbf{F}_{a2} \equiv m_{a} \int \mathbf{v} \left( \frac{m_{a} v^{2}}{2T_{a}} - \frac{5}{2} \right) C_{a}(f_{a}) d^{3} \mathbf{v}$$
$$\pi_{a} \equiv m_{a} \int \left[ (\mathbf{v} - \mathbf{u}_{a})(\mathbf{v} - \mathbf{u}_{a}) - |\mathbf{v} - \mathbf{u}_{a}|^{2} \mathbf{I}/3 \right] f_{a} d^{3} \mathbf{v}$$

parallel force balanc neglecting only u/u/

 $\mathbf{b} \cdot \nabla p_{\mathbf{a}} + \mathbf{b} \cdot \nabla \cdot \boldsymbol{\pi}_{\mathbf{a}} - n_{\mathbf{a}} e_{\mathbf{a}} E_{//} = F_{//a1}$ radial balance and particle and energy conservation neglecting only higher order with respect to  $\delta B/B$  in  $\nabla \cdot \pi_a$  and d/dt

$$\nabla \sum_{\mathbf{a}} p_{\perp \mathbf{a}} = \frac{\mathbf{J} \times \mathbf{B}}{c}, \ \mathbf{J} \equiv \sum_{\mathbf{a}} (n_{\mathbf{a}} \mathbf{u}_{\mathbf{a}}) \quad \because \quad \sum_{\mathbf{a}} \mathbf{F}_{\mathbf{a}1} = 0, \quad \sum_{\mathbf{a}} n_{\mathbf{a}} e_{\mathbf{a}} = 0$$

$$\nabla \cdot \mathbf{J}_{1/2} = -\nabla \cdot \mathbf{J}_{\perp} = c \quad \nabla \cdot \left( \frac{\nabla \sum_{\mathbf{a}} p_{\mathbf{a}\perp} \times \mathbf{B}}{B^2} \right)$$
(well-known Pfirsh-Schlueter(P-S) current)

# Moment equations for non-symmetric plasmas $(\mathbf{E} \times \mathbf{B} \cdot \nabla n_a \text{ and } \mathbf{E} \times \mathbf{B} \cdot \nabla T_a \text{ are retained})$

 $\nabla \bullet (n_{\mathbf{a}} \mathbf{u}_{\mathbf{a}//}) = -\nabla \bullet (n_{\mathbf{a}} \mathbf{u}_{\mathbf{a}\perp}) \cong c \nabla \bullet \left( n_{\mathbf{a}} \frac{\nabla \phi \times \mathbf{B}}{B^2} \right) + \frac{c}{e_{\mathbf{a}}} \nabla \bullet \left( \frac{\nabla p_{\mathbf{a}\perp} \times \mathbf{B}}{B^2} \right)$ 

neglecting  $\nabla \times \mathbf{B} \cdot \nabla \phi = (4\pi/c) \mathbf{J} \cdot \nabla \phi$ ,  $\nabla \times \mathbf{B} \cdot \nabla p_a = (4\pi/c) \mathbf{J} \cdot \nabla p_a$  by following usual transport ordering

$$\nabla \bullet (n_{\mathbf{a}} \mathbf{u}_{\mathbf{a}//}) \cong \frac{c}{e_{\mathbf{a}}} \left( \nabla p_{\mathbf{a}\perp} + \langle n_{\mathbf{a}} \rangle e_{\mathbf{a}} \nabla \phi \right) \times \mathbf{B} \bullet \nabla \frac{1}{B^2} + \frac{c \nabla \phi \times \mathbf{B}}{\langle B^2 \rangle} \bullet \nabla n_{\mathbf{a}}$$

Here, we used  $\delta B/B \ll 1$  approximation in parts including  $\langle \bullet \rangle$ .

This relation still retains the P-S current as a consequence of the equilibrium condition.

1st term corresponds to the flow divergence given by  $\mathbf{v}_{da} \cdot \nabla f_{aM}$  in DKE as shown later, and 2nd term is a part of  $\mathbf{E} \times \mathbf{B} \cdot \nabla f_{a1}$ 

When neglecting  $\frac{c\nabla\phi \times \mathbf{B}}{\langle B^2 \rangle} \cdot \nabla n_{\mathrm{a}}$ , this equation corresponds to  $\nabla \cdot \mathbf{u}_{\mathrm{a}} = 0$  (2002)

But this assumption was not esssential.

with a local transport anzatz of 
$$\frac{\partial f_{a1}}{\partial s} \cong 0$$
 and resulting  $\nabla p_{a\perp} \cong \frac{\partial \langle p_a \rangle}{\partial s} \nabla s$ ,  $\nabla \phi \cong \frac{\partial \langle \phi \rangle}{\partial s} \nabla s$   
 $n_a \mathbf{u}_{a/l} = -\widetilde{U} \frac{c}{e_a} \left( \frac{\partial \langle p_a \rangle}{\partial s} + \langle n_a \rangle e_a \frac{\partial \langle \phi \rangle}{\partial s} \right) + B \int^l dl \frac{c \nabla \phi \times \mathbf{B}}{\langle B^2 \rangle B} \cdot \nabla n_a$  +integration constant

Even in this approximation, the Pfirsch-Schlüter current  $\Sigma e_a n_a \mathbf{u}_a$  is still unchanged. However, flow velocities  $\mathbf{u}_a$  of individual species a is now not generally incompressible  $(\nabla \cdot \mathbf{u}_a \neq 0, n_a \neq \text{const})$ . Although we previously neglected this effect in our explanation(2002) for simplicity, it is not negligible in impure plasmas with high collision frequencies. I skip today the derivations of moment equations in higher orders and drift kinetic equation, although they are important and essential. (I show only a final result here.)

## Linearized approximations for the Vlasov and the collision operators

(Here is an essential reason to use viscosity and friction coefficients)

collision operator in 
$$(v, \xi, \varphi)$$
 or  $(v_{\alpha}, v_{\beta}, v_{\gamma})$  coordinates in the velocity space  
 $C_{ab}(f_{a1}, f_{bM}) = \frac{v_D^{ab}(v)}{2} \left[ \frac{\partial}{\partial \xi} (1-\xi^2) \frac{\partial}{\partial \xi} + \frac{1}{1-\xi^2} \frac{\partial^2}{\partial \varphi^2} \right] f_{a1} + v^{-2} \frac{\partial}{\partial v} \left[ v^3 \left( \frac{m_a}{m_a + m_b} v_a^{ab}(v) f_{a1} + \frac{v_l^{ab}(v)}{2} v \frac{\partial f_{a1}}{\partial v} \right) \right]$   
pitch-angle-scattering (PAS) and energy scattering (ES)  
 $C_{ab}(f_{aM}, f_{b1}) = -\frac{2\pi e_a^2 e_b^2 \ln \Lambda}{m_a} \frac{\partial}{\partial v_{\alpha}} \int d^3 \mathbf{v}' \left[ \frac{f_{aM}(\mathbf{v})}{m_b} \frac{\partial f_{b1}(\mathbf{v}')}{\partial v_{\beta}'} - \frac{\partial f_{aM}(\mathbf{v})}{\partial v_{\beta}} \frac{f_{b1}(\mathbf{v}')}{m_a} \right] U_{\alpha\beta}(\mathbf{v} - \mathbf{v}')$   
 $= -\frac{4\pi e_a^2 e_b^2 \ln \Lambda}{m_a^2} \left[ \frac{\partial}{\partial v_{\alpha}} \left( f_{aM} \frac{\partial h_{ab}(f_{b1})}{\partial v_{\alpha}} \right) - \frac{1}{2} \frac{\partial^2}{\partial v_{\alpha} \partial v_{\beta}} \left( f_{aM} \frac{\partial^2 g_{ab}(f_{b1})}{\partial v_{\alpha} \partial v_{\beta}} \right) \right]$   
 $U_{\alpha\beta}(\mathbf{x}) \equiv \mathbf{x}^{-3} (\mathbf{x}^2 \delta_{\alpha\beta} - \mathbf{x}_{\alpha} \mathbf{x}_{\beta}), h_{ab}(f_{b1}) \equiv (1 + m_a/m_b) \int d^3 \mathbf{v}' \frac{f_{b1}(\mathbf{v}')}{|\mathbf{v} - \mathbf{v}|}, g_{ab}(f_{b1}) \equiv \int d^3 \mathbf{v}' f_{b1}(\mathbf{v}') |\mathbf{v} - \mathbf{v}|$   
 $\int d^3 \mathbf{v} [C_{ab}(f_{a1}, f_{bM}) + C_{ab}(f_{aM}, f_{b1})] = 0$   
 $\sum_{a,b} \int d^3 \mathbf{v} m_a \mathbf{v} [C_{ab}(f_{a1}, f_{bM}) + C_{ab}(f_{aM}, f_{b1})] = 0$   
 $\sum_{a,b} \int d^3 \mathbf{v} m_a v^2 [C_{ab}(f_{aM}, f_{bM}) + C_{ab}(f_{aM}, f_{b1}) + C_{ab}(f_{aM}, f_{b1})] = 0$   
 $C_{ab}(f_{a1} \sim Y_{lm}(\xi, \varphi), f_{bM}) \approx Y_{lm}(\xi, \varphi), C_{ab}(f_{aM}, f_{b1} \simeq Y_{lm}(\xi, \varphi)) \approx Y_{lm}(\xi, \varphi)$ 

### Linearized approximations for the Vlasov and the collision operators

Legendre expansion of the gyro-phase averaged distribution functions

$$F(\mathbf{x}, v, \xi) = \sum_{l=0}^{\infty} F^{(l)}(\mathbf{x}, v, \xi)$$
$$F^{(l)}(\mathbf{x}, v, \xi) \equiv P_l(\xi) \frac{2l+1}{2} \int_{-1}^{1} d\eta P_l(\eta) F(\mathbf{x}, v, \eta)$$
$$P_0(\xi) = 1, \ P_1(\xi) = \xi, \ P_2(\xi) = \frac{3}{2}\xi^2 - \frac{1}{2}, \ P_3(\xi) = \frac{5}{2}\xi^3 - \frac{3}{2}\xi, \dots$$

How to treat the Legendre orders of

$$l=0 (\rightarrow n_{a}, T_{a}, p_{a}, r'_{a})$$

$$l=1 (\rightarrow \mathbf{u}_{a}, \mathbf{q}_{a} \equiv \mathbf{Q}_{a} - \frac{5}{2} p_{a} \mathbf{u}_{a})$$

$$l=2 (\rightarrow \pi_{a}, \mathbf{\theta}_{a} \equiv \frac{m_{a}}{T_{a}} (\mathbf{r}'_{a} - r'_{a} \mathbf{I}) - \frac{5}{2} \pi_{a}),$$

$$l \ge 3, \dots$$

For  $l \ge 2$ , test/field ratio  $\propto l^2$ . Furthermore, PAS/ES ratio  $\propto l^2$  ( $l \rightarrow \infty$ ) in transport applications.

For *l*=0,1, full parts of the collision operator are comparable and indispensable in viewpoint of the cons ervation laws

$$F^{(l)}(\mathbf{x}, v, \xi) \text{ is expressed by a Laguerre series of } F^{(l)}(\mathbf{x}, v, \xi) = P_l(\xi) v^{l} f_{aM} \sum_{j} F_{l,j} L_j^{(l+1/2)}(x_a^2) \text{ especially for } l=0,1$$

$$x_a^2 \equiv \frac{m_a v^2}{2T_a} \qquad (l,j)=(0,0): n_{a1}(\theta, \zeta)$$

$$L_0^{(1/2)}(x_a^2)=1, L_1^{(1/2)}(x_a^2) = \frac{3}{2} - x_a^2, L_2^{(1/2)}(x_a^2) = \frac{x_a^4}{2} - \frac{5}{2}x_a^2 + \frac{15}{8}, \dots$$

$$L_0^{(3/2)}(x_a^2)=1, L_1^{(3/2)}(x_a^2) = \frac{5}{2} - x_a^2, L_2^{(3/2)}(x_a^2) = \frac{x_a^4}{2} - \frac{7}{2}x_a^2 + \frac{35}{8}, \dots$$

$$(l,j)=(1,1): q_{l/a}(\theta, \zeta)$$

$$(l,j)=(1,1): q_{l/a}(\theta, \zeta)$$

## Linearized approximations for the Vlasov and the collision operators

Friction forces as the Legendre (order l=1) and Lagurre moments of the collision operator

$$\mathbf{F}_{a1} \equiv m_{a} \int \mathbf{v} \ C_{a}(f_{a}) \ \mathrm{d}^{3} \mathbf{v}, \ \mathbf{F}_{a2} \equiv m_{a} \int \mathbf{v} \left( x_{a}^{2} - \frac{5}{2} \right) C_{a}(f_{a}) \ \mathrm{d}^{3} \mathbf{v}, \ \mathbf{F}_{a3} \equiv m_{a} \int \mathbf{v} \left( \frac{x_{a}^{4}}{2} - \frac{7}{2} x_{a}^{2} + \frac{35}{8} \right) C_{a}(f_{a}) \ \mathrm{d}^{3} \mathbf{v}$$

friction-flow relation

$$\mathbf{F}_{a1} \\ \mathbf{F}_{a2} \\ \mathbf{F}_{a3} \end{bmatrix} = \sum_{b} \begin{bmatrix} l_{11}^{ab} & -l_{12}^{ab} & l_{13}^{ab} \\ -l_{21}^{ab} & l_{22}^{ab} & -l_{23}^{ab} \\ l_{31}^{ab} & -l_{32}^{ab} & l_{33}^{ab} \end{bmatrix} \begin{bmatrix} \frac{1}{\langle n_b \rangle} (n_b \mathbf{u}_b) \\ \frac{2}{5 \langle p_b \rangle} \mathbf{q}_b \\ \mathbf{u}_{b2} \end{bmatrix}$$

Energy exchange or scattering coefficients for *l*=0 components

$$\begin{bmatrix} \nabla \bullet (n_{a}\mathbf{u}_{a}) \\ \nabla \bullet \mathbf{q}_{a} \\ \nabla \bullet \mathbf{u}_{2a} \end{bmatrix}_{(\text{collision})} = \sum_{b} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & P_{ab}^{12} \\ 0 & -(P_{ab}^{21} + P_{ab}^{11}) & P_{ab}^{22} + P_{ab}^{12} \end{bmatrix} \begin{bmatrix} n_{a1} \\ T_{a1} \\ n_{a2} \end{bmatrix} + \sum_{b} \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{T_{a}}{T_{b}} Q_{ab}^{11} & Q_{ab}^{12} \\ 0 & P_{ab}^{21} + P_{ab}^{11} & Q_{ab}^{22} + Q_{ab}^{12} \end{bmatrix} \begin{bmatrix} n_{b1} \\ T_{b1} \\ n_{b2} \end{bmatrix}$$

S.P.Hirshman and D.J.Sigmar, Nucl.Fusion 21, 1079 (1981)

In the flux surface averaged part of the momentum balance using the 13M approximation, the energy scattering are neglected, and the 3rd row and the 3rd column (Laguerre order of j=2) in the friction-flow relation are truncated.

But we retain them when calculating the Pfirsch-Schlüter transport as show later.

# Full neoclassical matrix for general toroidal plasmas (except the bumpy torus)

collisionless regime in non-symmetric configurations (so-called ripple diffusion)  $\begin{bmatrix} \Gamma_{a}^{bn} \\ q_{a}^{bn} \end{bmatrix} = \begin{bmatrix} (L^{bn})_{11}^{aa} (L^{bn})_{12}^{aa} \\ (L^{bn})_{21}^{aa} (L^{bn})_{22}^{aa} \end{bmatrix} \begin{bmatrix} X_{a1} \\ X_{a2} \end{bmatrix}$ (GIOTA, NEO, GSRAKE, FPSTEL, etc.)  $\begin{bmatrix} X_{a1} \\ X_{a2} \end{bmatrix}$ (GIOTA, NEO, GSRAKE, FPSTEL, etc.)  $\begin{bmatrix} X_{a1} \\ X_{a2} \end{bmatrix}$ (GIOTA, NEO, GSRAKE, FPSTEL, etc.)

$$\begin{bmatrix} \Gamma_{a}^{bn} \\ q_{a}^{bn} \end{bmatrix} = \sum_{b} \begin{bmatrix} (L^{bn})_{11}^{ab} & (L^{bn})_{12}^{ab} \\ (L^{bn})_{21}^{ab} & (L^{bn})_{22}^{ab} \end{bmatrix} \begin{bmatrix} X_{b1} \\ X_{b2} \end{bmatrix} + \begin{bmatrix} (L^{bn})_{E1}^{a} \\ (L^{bn})_{E2}^{a} \end{bmatrix} \langle BE_{//} \rangle$$
$$J^{BS} = \sum_{b} \begin{bmatrix} (L^{bn})_{E1}^{b} & (L^{bn})_{E2}^{b} \end{bmatrix} \begin{bmatrix} X_{b1} \\ X_{b2} \end{bmatrix} + (L^{bn})_{EE} \langle BE_{//} \rangle$$

symmetric configurations

$$\sum_{a} e_{a} \Gamma_{a}^{bn} = 0$$

(intrinsic ambipolar cpndition due to the momentum conservation) a,b = electron, ion, impurities  $X_{a1} \equiv -\frac{1}{n_a} \frac{\partial p_a}{\partial s} - e_a \frac{\partial \phi}{\partial s}, \quad X_{a2} \equiv -\frac{\partial T_a}{\partial s}$ 

#### *M*,*N*,*L* matrix and

flux surface averaged parts of the parallel momentum balance determining  $\langle n_a u_{//a}B \rangle$ ,  $\langle q_{//a}B \rangle$  as the integration constants of

 $\nabla \bullet(n_a u_{//a}), \nabla \bullet q_{//a}$  (H.Sugama and S.Nishimura, Phys.Plasmas 9, 4637(2002))

$$\begin{bmatrix} \langle \mathbf{B} \cdot \nabla \boldsymbol{\pi}_{a} \rangle \\ \langle \mathbf{B} \cdot \nabla \boldsymbol{\theta}_{a} \rangle \\ \Gamma_{a}^{bn} \\ q_{a}^{bn} \end{bmatrix} = \begin{bmatrix} M_{a1} & M_{a2} & N_{a1} & N_{a2} \\ M_{a2} & M_{a3} & N_{a2} & N_{a3} \\ N_{a1} & N_{a2} & L_{a1} & L_{a2} \\ N_{a2} & N_{a3} & L_{a2} & L_{a3} \end{bmatrix} \begin{bmatrix} \frac{1}{\langle n_{a} \rangle} \langle n_{a} u / n_{a} B \rangle \langle B^{2} \rangle \\ -\frac{1}{\langle n_{a} \rangle} \frac{\partial \langle p_{a} \rangle}{\partial s} - e_{a} \frac{\partial \langle \Phi \rangle}{\partial s} \\ -\frac{1}{\langle n_{a} \rangle} \frac{\partial \langle p_{a} \rangle}{\partial s} - e_{a} \frac{\partial \langle \Phi \rangle}{\partial s} \end{bmatrix}$$
Given by an approximated DKE (numerically and/or analytically) (with energy integrations)  
In symmetric cases  $L_{aj} \propto N_{aj} \propto M_{aj}$ 
$$\sum_{k=1}^{k} \left( \frac{\delta_{ab}}{\langle B^{2} \rangle} \begin{bmatrix} M_{a1} & M_{a2} \\ M_{a2} & M_{a3} \end{bmatrix} - \begin{bmatrix} l_{11}^{ab} - l_{12}^{ab} \\ -l_{21}^{ab} & l_{22} \end{bmatrix} \right) \begin{bmatrix} \frac{1}{\langle n_{b} \rangle} \langle n_{b} u / b B \rangle \\ \frac{2}{5 \langle p_{b} \rangle} \langle q / b B \rangle \\ \frac{2}{5 \langle p_{b} \rangle} \langle q / b B \rangle \end{bmatrix}$$
combined with the friction-flow relation
$$= -\begin{bmatrix} N_{a1} & N_{a2} \\ N_{a2} & N_{a3} \end{bmatrix} \begin{bmatrix} -\frac{1}{\langle n_{a} \rangle} \frac{\partial \langle p_{a} \rangle}{\partial s} - e_{a} \frac{\partial \langle \Phi \rangle}{\partial s} \\ -\frac{\partial \langle T_{a} \rangle}{\partial s} \end{bmatrix} + \begin{bmatrix} n_{a} e_{a} \langle BE / a \rangle \\ 0 \end{bmatrix}$$

*a*, b = e, D<sup>+</sup>, T<sup>+</sup>, He<sup>+</sup>, He<sup>2+</sup>, Li<sup>+</sup>, Li<sup>2+</sup>, Li<sup>3+</sup>, ... A non-diagonal coupling between particle species is introduced in this step.

#### DKES as a benchmark tool



These coefficients are the function of  $\mathbf{B}$  expressed in the flux surface coordinates as

$$\begin{split} M^* = & M^*(\chi, \psi, B_{\zeta}, B_{\theta}, B_{mn}, \nu/\nu, E_s/\nu), N^* = N^*(\chi, \psi, B_{\zeta}, B_{\theta}, B_{mn}, \nu/\nu, E_s/\nu), \\ L^* = & L^*(\chi, \psi, B_{\zeta}, B_{\theta}, B_{mn}, \nu/\nu, E_s/\nu). \text{ The formulas are summarized in FS&T 51, 61(Jan. 2007)} \\ & B = & B_0 [1 - \varepsilon_t \cos\theta_B + \varepsilon_h \cos(l\theta_B - n\zeta_B)], l = 2, n = 10, B_0 = 1T, \\ & \chi' = 0.15 \text{T-m}, \ \psi' = 0.4 \text{T-m}, B_{\theta} = 0, B_{\zeta} = 4 \text{T-m} \text{ are assumed.} \end{split}$$

Diagonal radial diffusion coefficients  $L^*$ and the boundary layer correction to the parallel viscosity force  $N^*$ (Role of existing bounce- or ripple-averaging codes in the integrated simulation and the analytical calculation of  $N^*$ )



## mono-energetic coefficients $L^*$ in the LMFP regime (Importance of $1/v^{1/2}$ diffusion in quasi-axisymmetric systems)

 $1/v^{1/2}$  diffusion coefficient:

extending the theory for rippled tokamaks (K.C.Shaing and J.D.Callen, Phys.Fluids 25,1012(1982)) to multi-helicity stellarators

$$L^{*(-1/2)} = 2.92 \frac{2}{\pi^{2}} \left(\frac{v}{v_{\rm D}^{a}}\right)^{1/2} \frac{2^{3/4}}{(\psi)^{2}} \left(\frac{V}{4\pi^{2}} \frac{B_{0}}{\chi'}\right)^{1/2} \int_{-\pi}^{\pi} \frac{\mathrm{d}\theta}{2\pi} \,\delta_{\rm eff}^{3/4} \left(\frac{\pi - 2\sin^{-1}\alpha^{*}}{N\frac{\psi}{\chi'} - L - \frac{\partial\gamma}{\partial\theta}}\right)^{1/2} \left(\left(\frac{\partial\varepsilon_{\rm T}}{\partial\theta}\right)^{2} - \sqrt{1 - \alpha^{*2}} \frac{\partial\varepsilon_{\rm T}}{\partial\theta} \frac{\partial\varepsilon_{\rm H}}{\partial\theta} + \frac{2}{9} \left(1 - \alpha^{*2}\right) \left(\frac{\partial\varepsilon_{\rm H}}{\partial\theta}\right)^{2}\right)^{1/2} \left(\frac{\partial\varepsilon_{\rm H}}{\partial\theta}\right)^{2} + \frac{1}{2} \left(\frac{\partial\varepsilon_{\rm H}}{\partial\theta}\right)^{2} + \frac{1}{2}$$

1/v and collisionless detrapping v regimes diffusion coefficients: Here we show an example using theory by Shaing and Hokin, and a scaling law by E.C.Crume,Jr. Other advanced bounce averaging codes (FPSTEL, GSRAKE, GIOTA, NEO, etc.) for 1/v, and scaling recently obtained by using DCOM, MOCA, GSRAKE for v regime are also applicable for this part.

$$L^{*(1/\nu)} = \frac{1}{2\sqrt{2}\pi^{2}(\psi^{t})^{2}} \frac{v}{v_{D}^{a}(K)} \int_{0}^{2\pi} d\theta_{B} \left\{ \delta_{eff} \right\}^{3/2} \left[ \frac{16}{9} \left( \frac{\partial \varepsilon_{T}}{\partial \theta_{B}} \right)^{2} - \frac{32}{15} \sqrt{1 - \alpha^{*2}} \left( \frac{\partial \varepsilon_{T}}{\partial \theta_{B}} \right) \left( \frac{\partial \varepsilon_{H}}{\partial \theta_{B}} \right) + 0.684(1 - \alpha^{*2}) \left( \frac{\partial \varepsilon_{H}}{\partial \theta_{B}} \right)^{2} \right]$$
$$L^{*(\nu)} = \frac{\sqrt{\pi}}{6} \varepsilon_{t}^{3/2} \frac{v_{D}^{a}/v}{(E_{s}/v)^{2}}$$

We used these formulas also in the boundary layer correction term in  $N^*$ , to determine the boundary collision frequency between 1/v and v regimes.

#### A Numerical Example in CHS-qa



major radius : R = 1.5m minor radius : a = 0.47m magnetic field :  $B \le 1.5$ T toroidal period : N=2rotational transform:  $t(r=a)/2\pi=0.4$ 

- (a) mono-energetic radial diffusion coefficients  $L^*$ , parallel viscosity coefficients  $M^*$
- (b) mono-energetic geometrical factor associated with the bootstrap currents  $G^{(BS)}$ .
  - S.Okamura, K.Matsuoka, S.Nishimura, et al.,
  - in 19th IAEA (Lyon, 14-19,Oct. 2002)

# On poloidally and toroidally varying parts of force balances and flows

In this theory separating the flows and the force balances into two parts,

 $\langle \mathbf{B} \cdot \nabla \cdot \boldsymbol{\pi}_{a} \rangle - n_{a} e_{a} \langle B E_{//} \rangle = \langle B F_{//a1} \rangle$  determining  $\langle n_{a} u_{//a} B \rangle$ ,  $\langle q_{//a} B \rangle$ 

 $\mathbf{b} \cdot \nabla \tilde{p}_{a} - n_{a} e_{a} \tilde{E}_{//} = \tilde{F}_{//a1} \quad \text{determining} \quad \widetilde{n_{a} u_{//a1}}, \widetilde{q_{//a1}} \quad (E_{//}: \text{by the quasi-neutrality})$ where  $\nabla \cdot (n_{a} \mathbf{u}_{a}) = 0$ ,  $\nabla \cdot \mathbf{q}_{a} = \text{energy exchange}$ 

later part determining the Pfirsh-Schlüter diffusions also must be solved. (But we didn't discussed about it in 2002.)

Although one simplification of the formulations which often used (Hirshman-Sigmar, 1981, Shaing-Callen, 1983, Sugama-Nishimura, 2002) may be  $\nabla \bullet \mathbf{u}_a = 0$ ,  $\mathbf{u}_a \bullet \nabla n_a = 0$ , it is not generally valid in non-symmetric configurations. Only in the rigid rotation of the symmetric plasmas in the direction of the symmetry,  $\mathbf{u}_a \bullet \nabla = 0$ .

The procedure to solve the momentum balance must...

- (1) It must automatically include the previous tokamak theory in axisymmetric limits. Particle and energy conservation laws must include not only  $\mathbf{E} \times \mathbf{B} \bullet \nabla$  term but also  $\mathbf{u}^{\mathbf{E} \times \mathbf{B}}_{//} \bullet \nabla$ .  $\mathbf{u}^{\mathbf{E} \times \mathbf{B}}_{//}$  is obvious when considering the symmetric plasmas with the rigid rotation.
- (2) How is it in general non-symmetric configurations ?

The flux surface averaged parallel flows  $\langle n_a u_{//a}B \rangle$ ,  $\langle q_{//a}B \rangle$  are composed of two components with contrastive characteristics.

This concept of superposed components is developed in our derivations of analytical formulas for  $N^*$  and  $L^*$  in 2003-2005.

$$(V_{//}-C_{a}^{PAS})G_{Xa} = \sigma_{Xa} = \sigma_{Xa}^{(sym)} + \sigma_{Xa}^{(asym)} + \sigma_{Xa}^{(avg)}$$
$$V_{//} \equiv v\xi \mathbf{b} \bullet \nabla - \frac{v}{2} (\mathbf{b} \bullet \nabla \ln B)(1-\xi^{2})\frac{\partial}{\partial\xi} \quad C_{a}^{PAS} \equiv \frac{V_{D}^{a}}{2}\frac{\partial}{\partial\xi}(1-\xi^{2})\frac{\partial}{\partial\xi}$$

When we divide the radial drift term  $\sigma_{Xa}$  following 3 parts, the solution is given by the linear combination of those for

$$(V_{//}-C_{a}^{PAS})G_{Xa}^{(sym)} = \sigma_{Xa}^{(sym)}$$
$$(V_{//}-C_{a}^{PAS})G_{Xa}^{(asym)} = \sigma_{Xa}^{(asym)}$$
$$(V_{//}-C_{a}^{PAS})G_{Xa}^{(avg)} = \sigma_{Xa}^{(avg)}$$

 $(V_{//}-C_a^{TAS})G_{Xa}^{(avg)} = O_{Xa}^{(avg)}$ These parts are solved by different asymptotic expansions (1/v, banana, plateau, Pfirsch-Schlüter)

$$G_{Xa} = G_{Xa}^{(\text{sym})} + G_{Xa}^{(\text{asym})} + G_{Xa}^{(\text{avg})}, N^* = N^* (\text{sym}) + N^* (\text{asym}) + N^* (\text{boundary})$$
  
The  $E_r$  driven parallel flows also include parts corresponding to them.



Characteristics of (sym)/(asym) separation

Bounce averaged motion of trapped particles  $(\int (\sigma_{Xa}^{(avg)}/v_{//}) dl \neq 0)$ 

$$\sigma_{Xa}^{(\text{avg})} \equiv -C_a^{\text{PAS}}G_{Xa}^{(1/\nu)}$$

Ripple trapped : 1/v diffusion

Ripple untrapped and boundary layer :  $(V_{//}-C_a^{\text{PAS}})G_{Xa}^{(\text{avg})} = 0$  $\rightarrow 1/v^{1/2}$  diffusion, parallel viscosity

Remaining non-bounce-averaged guiding center motion is separated into 2 parts.  $\Leftarrow$  extension of the theory for the banana regime to collisional regimes.

K.C.Shaing, E.C.Crume, Jr., J.S.Tolliver, et al., Phys.Fluids B1, 148 (1989) K.C.Shaing, B.A.Carreras, N.Dominguez, et al., Phys.Fluids B1, 1663 (1989)

$$\sigma_{Xa}^{(\text{sym})} \equiv \frac{m_{a}c}{2e_{a}\chi'\psi'} \left\{ \frac{\psi'B_{\zeta} - \chi'B_{\theta}}{\langle B^{2} \rangle} + \frac{V'}{4\pi^{2}}H_{2} \right\} V_{//}(\upsilon\xi B) \qquad \qquad \frac{Local}{(\text{short } L_{c})}$$

(sym):  $E_r$  driven "rigid rotation" velocity  $\mathbf{u}_a$  without friction, viscosity, and heat flow

$$\sigma_{Xa}^{(asym)} \equiv \frac{m_{a}c}{2e_{a}\chi'\psi'} \frac{B}{\langle B^{2}\rangle} v^{2}P_{2}(\xi) \left\{ \chi'(1-H_{2})\frac{\partial B}{\partial \theta_{B}} - \psi'(1+H_{2})\frac{\partial B}{\partial \zeta_{B}} \right\} \qquad Global$$
$$+ \frac{m_{a}c}{e_{a}} \frac{B}{\langle B^{2}\rangle} v^{2}P_{2}(\xi) \left\{ \frac{\partial G}{\partial \zeta_{B}} \frac{\partial B}{\partial \theta_{B}} - \frac{\partial G}{\partial \theta_{B}} \frac{\partial B}{\partial \zeta_{B}} \right\} - \sigma_{Xa}^{(avg)} \qquad (long L_{c})$$

(asym):  $E_r$  driven BS current  $e_a n_a \mathbf{u}_a$  (N.Nakajima, et al. J.Plasma Fusion Res.68, (1992))

#### Resulting momentum balance equations for the poloidally and toroidally varying part ( $\rightarrow$ P-S diffusion)

The perturbation functions in the v→∞ limit have to become a shifted Maxwellian. However, this characteristic of the distribution function cannot be automatically obtained by the approximated mono-energetic kinetic equations.
 We use moment equations to calculate separated perturbation component (*l*=0,1) expressed by truncated Laguerre series (*j*=0,1,2) (corresponding to that in the tokamak P-S transport theory).

$$\langle n_{a} \begin{bmatrix} 1 & 1 & 0 \\ 0 & \frac{5}{2} & \frac{5}{2} \\ 0 & 0 & \frac{35}{8} \end{bmatrix} \mathbf{b} \cdot \nabla \begin{bmatrix} n_{a1}^{(j=0)} \langle T_{a} \rangle \langle n_{a} \rangle \\ T_{a1}^{(j=1)} \\ n_{a1}^{(j=2)} \langle T_{a} \rangle \langle n_{a} \rangle \end{bmatrix} - \begin{bmatrix} n_{a} e_{a} E_{l/} \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} F_{l/a1} \\ F_{l/a2} \\ F_{l/a3} \end{bmatrix} = \sum_{b} \begin{bmatrix} l_{11}^{ab} & -l_{12}^{ab} & l_{13}^{ab} \\ -l_{21}^{ab} & l_{22}^{ab} & -l_{23}^{ab} \\ l_{31}^{ab} & -l_{32}^{ab} & l_{33}^{ab} \end{bmatrix} \begin{bmatrix} (n_{b} u_{l/b}) / (n_{b}) \\ (25) q_{l/b} / (p_{b}) \\ (n_{b} u_{l/b2}) / (n_{b}) \end{bmatrix}$$
Force balance 
$$\langle p_{a} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \frac{5}{2} & 0 \\ 0 & \frac{5}{2} & 0 \\ 0 & 0 & \frac{35}{8} \end{bmatrix} \nabla \cdot \begin{bmatrix} (n_{a} \mathbf{u}_{l/a}) / (n_{a}) \\ (25) q_{l/a} / (p_{a}) \\ (n_{a} \mathbf{u}_{l/a2}) / (n_{a}) \end{bmatrix} + \langle n_{a} \begin{bmatrix} 1 & 0 & 0 \\ -1 & \frac{3}{2} & 0 \\ 1 & -\frac{3}{2} & \frac{15}{8} \end{bmatrix} \begin{bmatrix} -\frac{c \nabla \boldsymbol{\Phi} \times \mathbf{B}}{B^{2}} + u_{l/a}^{(\text{rigid})} \mathbf{b} \end{bmatrix} \cdot \nabla \begin{bmatrix} n_{a1}^{(j=0)} \langle T_{a} \rangle \langle n_{a} \rangle \\ T_{a1}^{(j=1)} \\ n_{a1}^{(j=2)} \langle T_{a} \rangle \langle n_{a} \rangle \end{bmatrix}$$
Particle and Energy conservation 
$$-\sum_{b} \begin{bmatrix} 0 & 0 & 0 \\ 0 & e_{ab}^{11} & 0 \\ 0 & -e_{ab}^{11} & e_{ab}^{22} \end{bmatrix} \begin{bmatrix} n_{b1}^{(j=0)} \langle T_{b} \rangle \langle n_{b} \rangle \\ T_{b1}^{(j=1)} \\ n_{b1}^{(j=2)} \langle T_{b} \rangle \langle n_{b} \rangle \end{bmatrix} = \frac{c}{e_{a}} \nabla s \times \mathbf{B} \cdot \nabla \frac{1}{B^{2}} \begin{bmatrix} \langle T_{a} \langle \frac{\partial \langle p_{a} \rangle}{\partial s} + \langle n_{a} \rangle e_{a}^{\frac{\partial \langle p_{a} \rangle}{\partial s}} \end{bmatrix}$$

These equations are linear and therefore can be converted to algebraic equations by Fourier expansions  $u_{//}/B = (u_{//}/B)_{mn} \exp[i(m\theta - n\zeta)]$ ,  $n = n_{mn} \exp[i(m\theta - n\zeta)]$  and so on.  $\mathbf{B} \cdot \nabla (u_{//}/B) \rightarrow (V'/4\pi^2)^{-1} (\chi'm - \psi'n) (u_{//}/B)_{mn}$ ,  $\mathbf{b} \cdot \nabla n \rightarrow (BV'/4\pi^2)^{-1} (\chi'm - \psi'n) n_{mn}$ , ... Suggestions and Supports from experimental results (1) (spontaneous parallel flows of collisional impurity induced by the positive  $E_r$  in the "neoclassical-ITB" operation)



#### An analogous phenomenon was recently observed also in LHD (M.Yoshinuma, et al.)



We previously showed a 2-ion-species model calculation using measured  $n_{\rm a}(r)$ ,  $T_{\rm a}(r)$  to reproduce these  $E_{\rm r}$ ,  $V_{\rm t}$ . (in 14<sup>th</sup> ISW, 2003) Next themes:

- (1) extensions to general multi-species cases
- (2) self-consistent determination of  $n_a(r)$  by including the P-S diffusion. (i.e., impurity accumulation/shielding studies)





## Summary

Development of the stellarator moment method :

- (1) A difficulty to treat the field particle portion of the collision operator.
  - $\rightarrow$  An algebraic treatment of them based on the Legendre(*l*)-Laguerre(*j*) expansions.
- (2) By a characteristic of the DKE, it is better to use only the Legendre order of *l*=2 component given by the approximated DKE, while we forsake the *l*=0 component.
- (3) Remaining components of the distribution function (l=0,1) are determined by combining the viscosity-flow relation and the friction-flow relation.
- (4) In non-symmetric plasmas, 3 mono-energetic viscosity coefficients
   (*M*\*, *N*\*, *L*\*) are required for this procedure, while the theories for symmetric plasmas use only one coefficient.
- (5) A reduction of the computational efforts for these coefficients is required for a planned integrated simulation system, and therefore derivations and tests of analytical expressions for them are now in progress.
- (6) Numerical solvers for the DKE in the 3 dimensional phase space(pitch-angle, poloidal-angle, toroidal-angle) are useful as benchmark tools in this study. Bounce- or ripple- averaging codes are also useful for N\* and L\*.