

Transport and Energetic Particle Confinement Issues for Compact Stellarators

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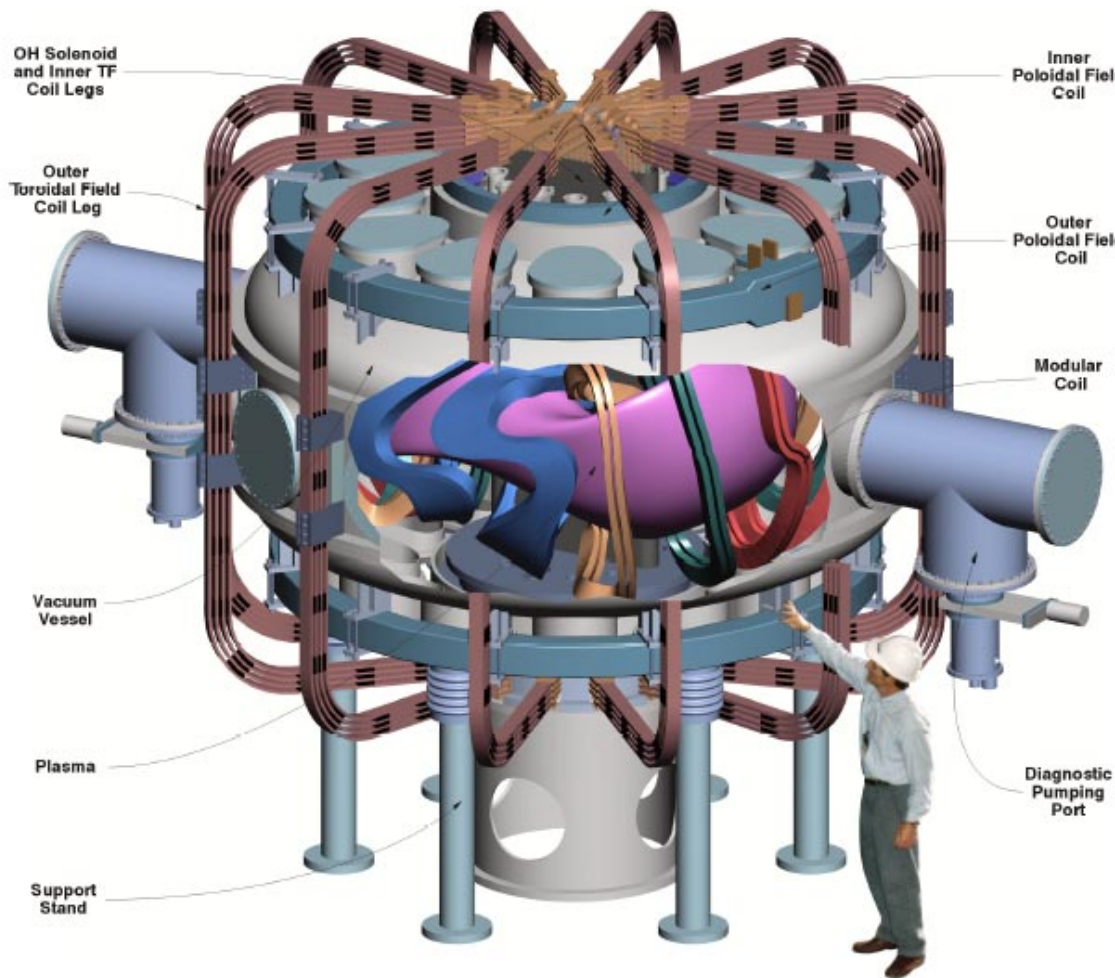
in collaboration with Steve Hirshman, Jim Lyon, Lee Berry, Dave Mikkelsen

US/Japan JIFT Workshop on "Theoretical Considerations on
Helical Plasmas".

November 18 - 20, 2002

Princeton Plasma Physics Lab.

QPS is a very low aspect ratio ($A = 2.7$) Quasi-poloidal stellarator



- $\langle R_0 \rangle = 0.9 \text{ m}$, $\langle a \rangle = 0.33 \text{ m}$,
 $\langle B \rangle = 1 \text{ T} \pm 0.2 \text{ T}$ for 1 sec,
 $I_p \leq 150 \text{ kA}$, $P_{ECH} = 0.6\text{--}1.2 \text{ Mw}$, $P_{ICH} = 1\text{--}3 \text{ Mw}$
- This experiment will test:
 - Equilibrium robustness
 - Neoclassical and anomalous transport
 - Near conservation of P_θ implies banana width involves toroidal field rather than poloidal field
 - Stability limits up to $\langle \beta \rangle = 2.5\%$
 - Bootstrap current effects
 - Reduced poloidal viscosity effects on shear flow transport reduction
 - Configurational flexibility

Our transport analysis has focused on optimization targets and comparisons between configurations

Optimization Strategy

- QOS optimizations (1996 - 1999)
 - J^* , J , $B_{\min}$, $B_{\max}$
 - DKES
- QPS optimizations (2000 - present)
 - ϵ_{eff}
 - Poloidal symmetry
 - DKES

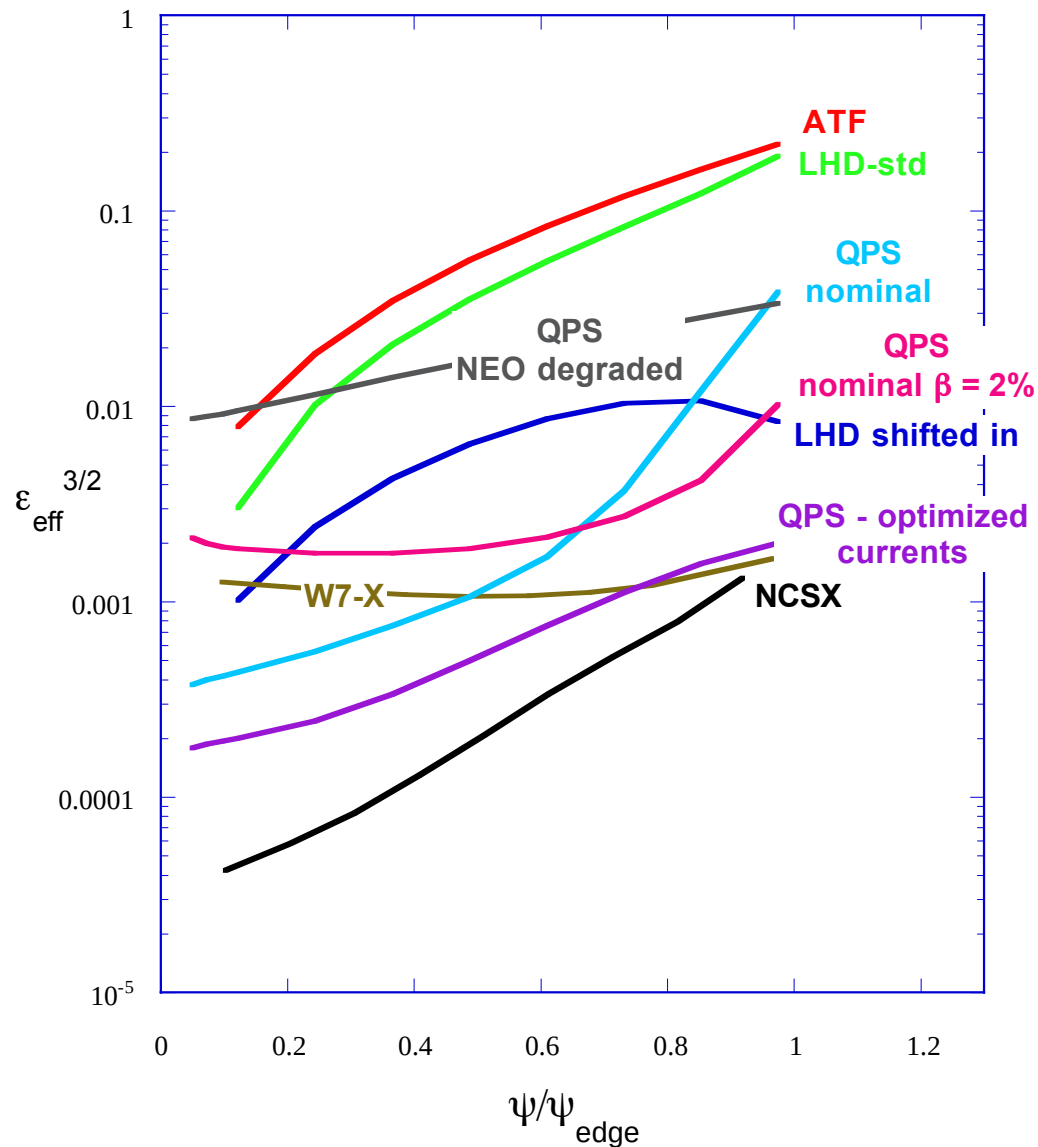
Evaluation Tools

- NEO ϵ_{eff} , Poloidal symmetry
- DKES
 - Setup, mode selection
 - Parallel runs
 - Energy integration
- DELTA5D Monte Carlo
 - Global full-f model
 - ICRF heating
 - NBI heating efficiency
 - Alpha losses
 - Bootstrap current
- 1-1/2 D model

However, with the QPS configuration becoming fixed, we are shifting over to flexibility studies and transport predictions.

NEO code provides $\varepsilon_{\text{eff}}^{3/2} \sim D^{1/\nu}, \chi^{1/\nu}$. Comparison of different configurations:

NEO ε_{eff} code



DKES transport dependencies show that both $L_{11} \propto \nu^{1/2}$ and $L_{11} \propto 1/\nu$ regimes are accessed.

These ranges are based on the maximum n , T in the profile, $e\phi/kT(a) = 1$, and looking from thermal energy to 9 times thermal (as sampled by velocity integrals for χ)

- ECH electrons:

$$7 \times 10^{-5} < \nu/\nu < 10^{-3}$$

$$8 \times 10^{-5} < E/\nu < 2 \times 10^{-4}$$

- ECH ions:

$$6 \times 10^{-3} < \nu/\nu < 7 \times 10^{-2}$$

$$10^{-2} < E/\nu < 3 \times 10^{-2}$$

- ICH electrons:

$$3 \times 10^{-3} < \nu/\nu < 4 \times 10^{-2}$$

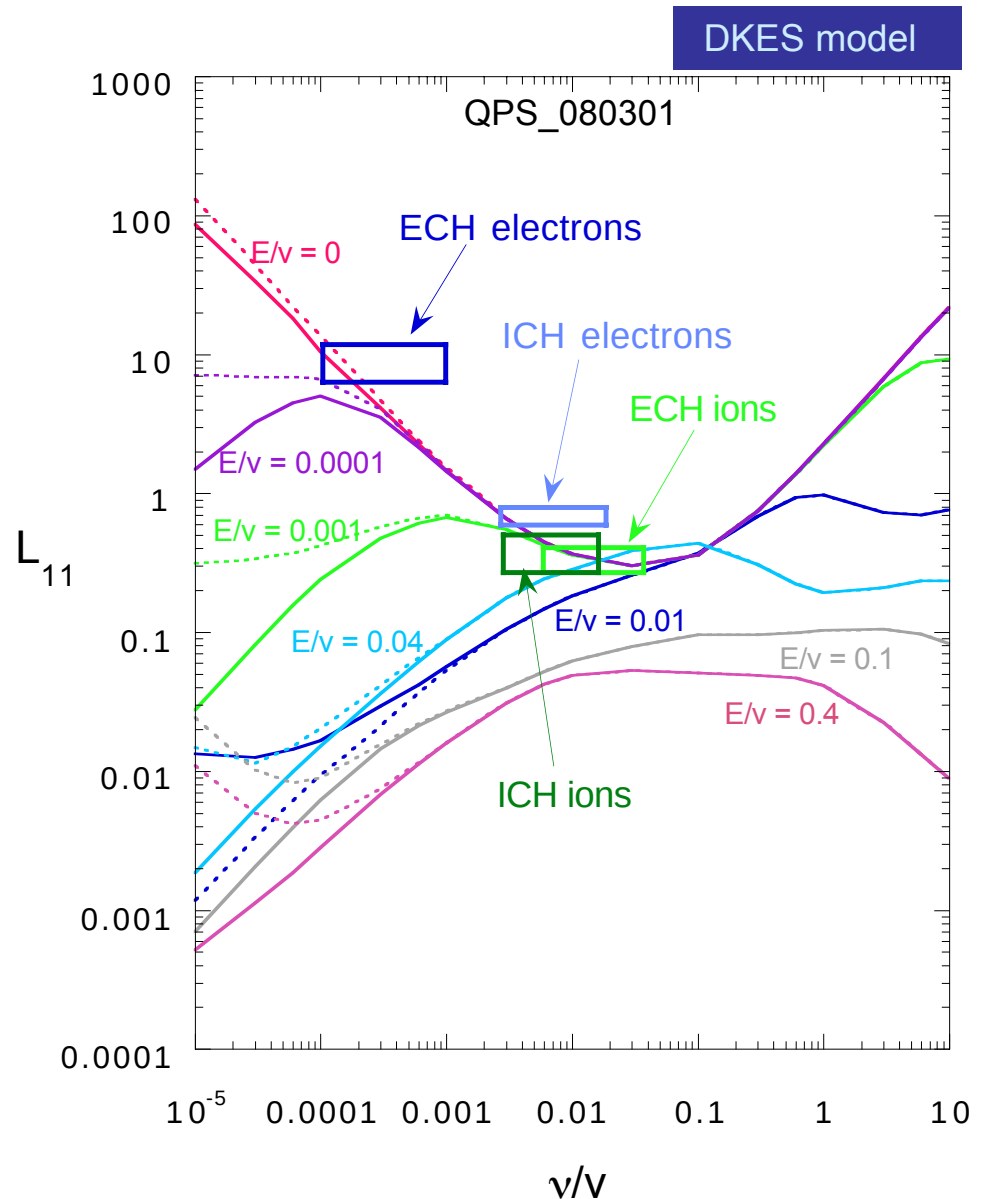
$$5 \times 10^{-5} < E/\nu < 2 \times 10^{-4}$$

- ICH ions:

$$2 \times 10^{-3} < \nu/\nu < 3 \times 10^{-2}$$

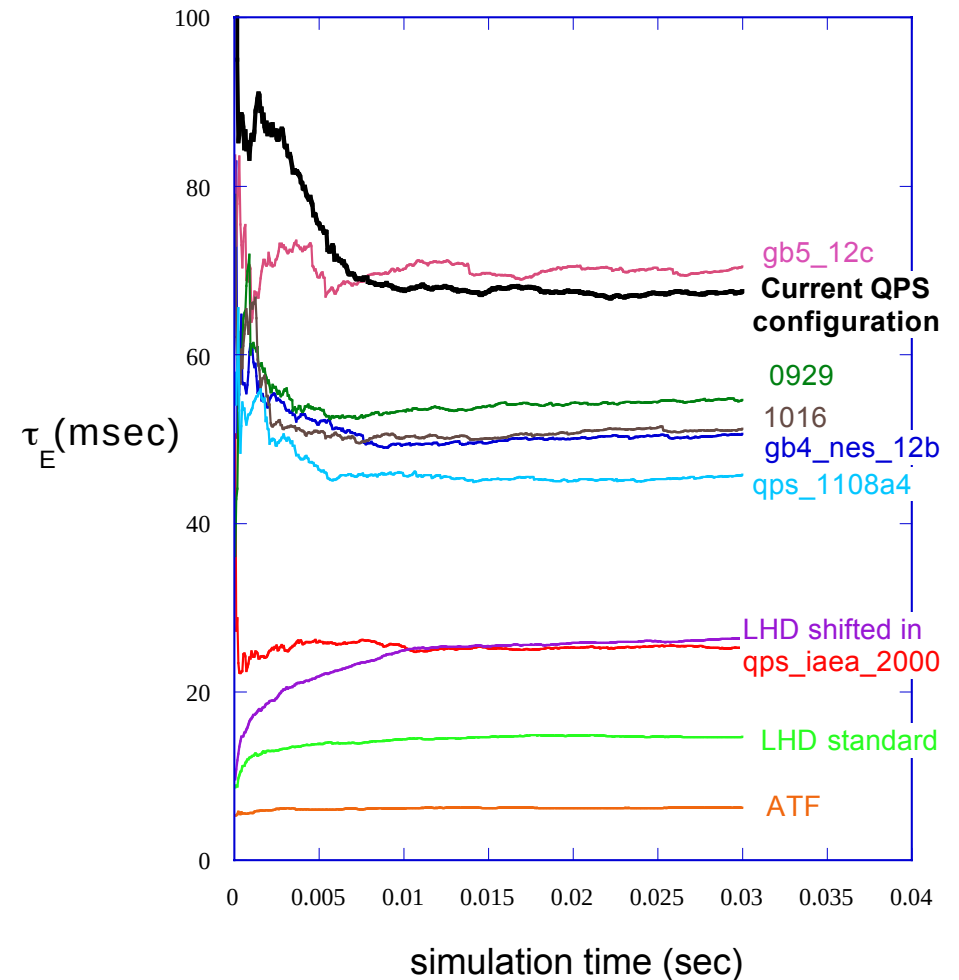
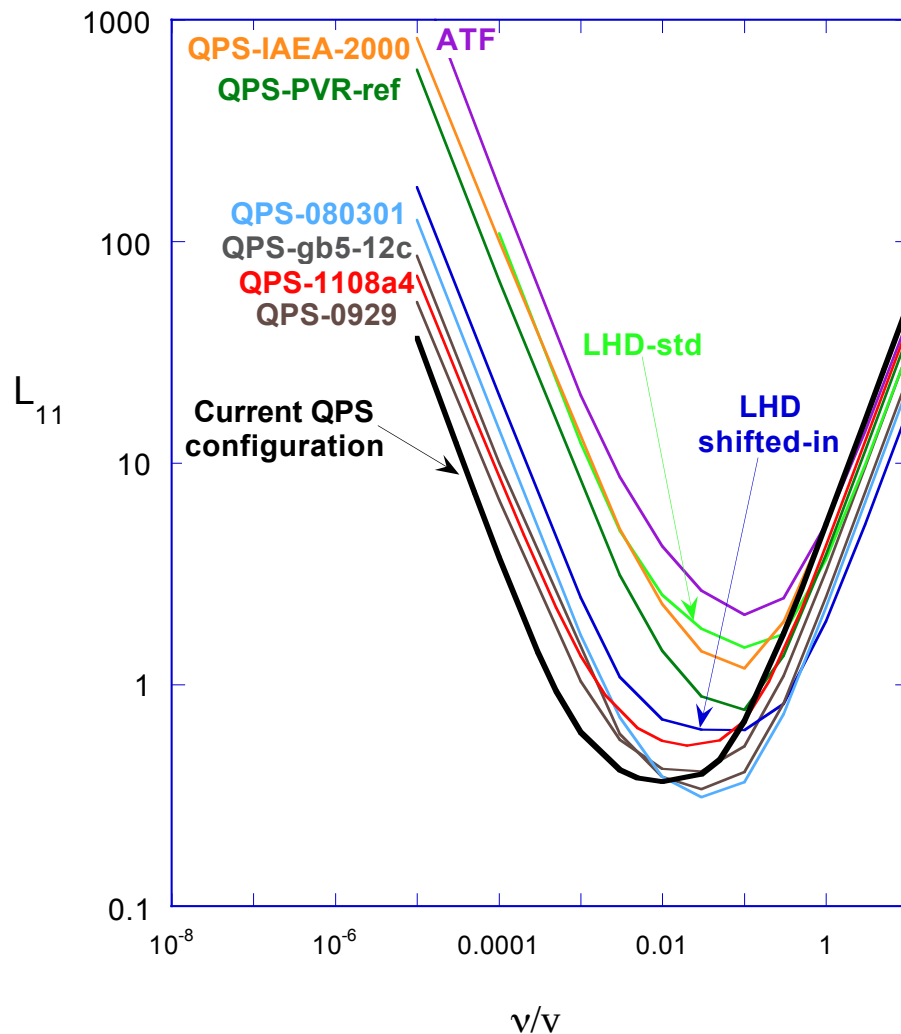
$$2 \times 10^{-3} < E/\nu < 6 \times 10^{-3}$$

Note: these L_{11} 's are based on the gb4 device.

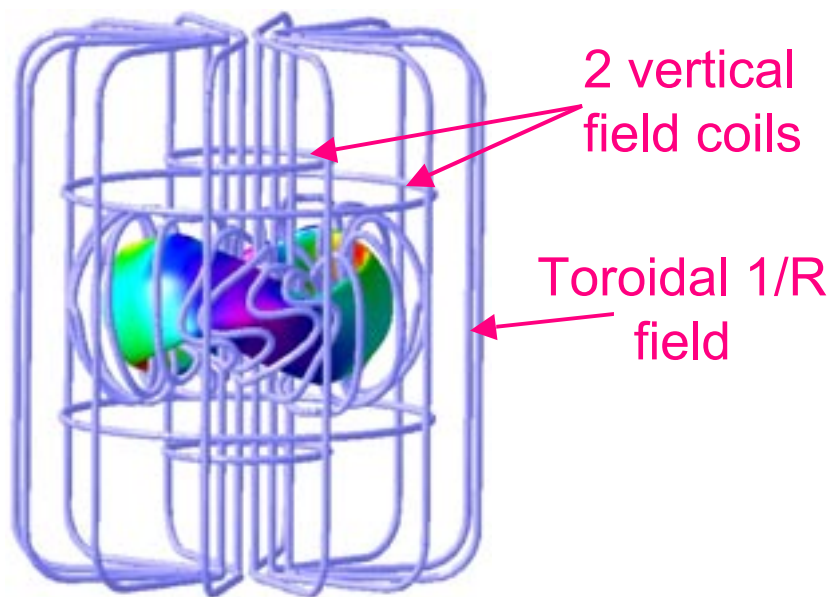


DKES L_{11} transport coefficient at $E_r/v = 0$ and global Monte Carlo lifetimes show similar trends at low collisionality among QPS devices as NEO $\epsilon_{\text{eff}}^{3/2}$ coefficient

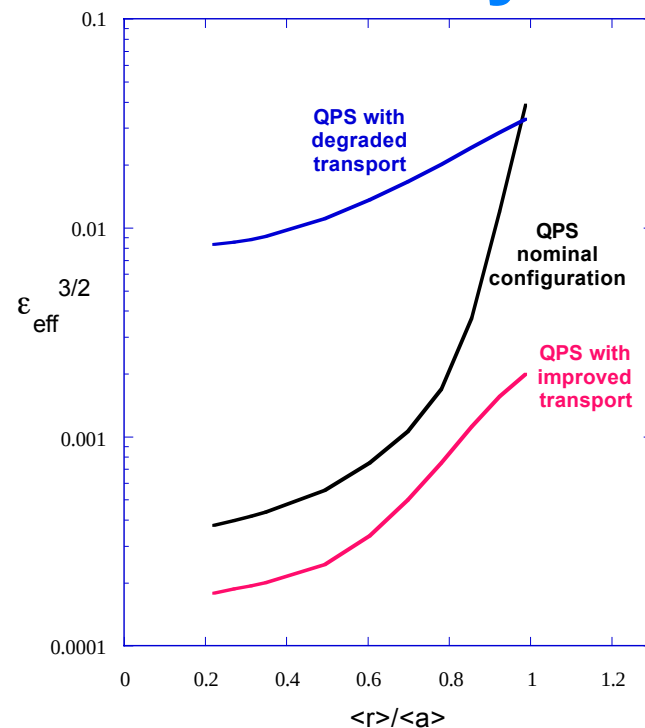
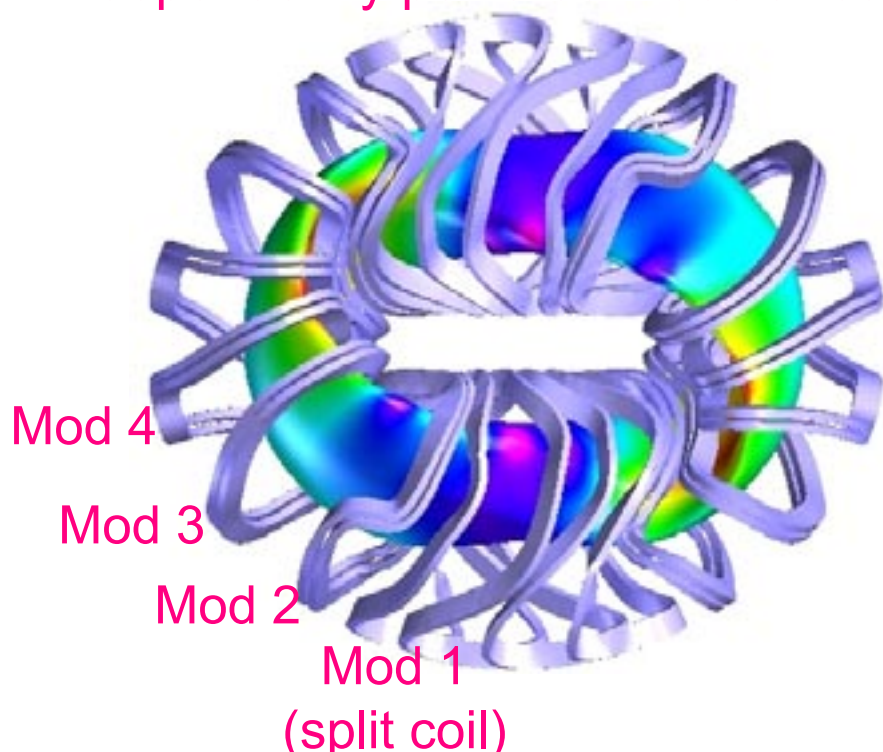
DKES/DELTA5D model



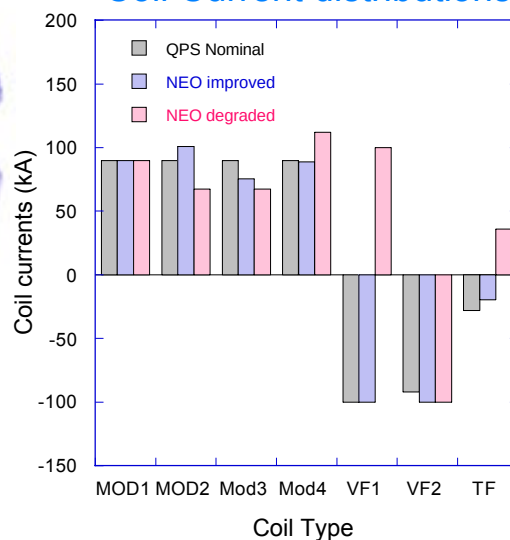
QPS Flexibility Studies



4 independently powered modular coils



Coil Current distributions



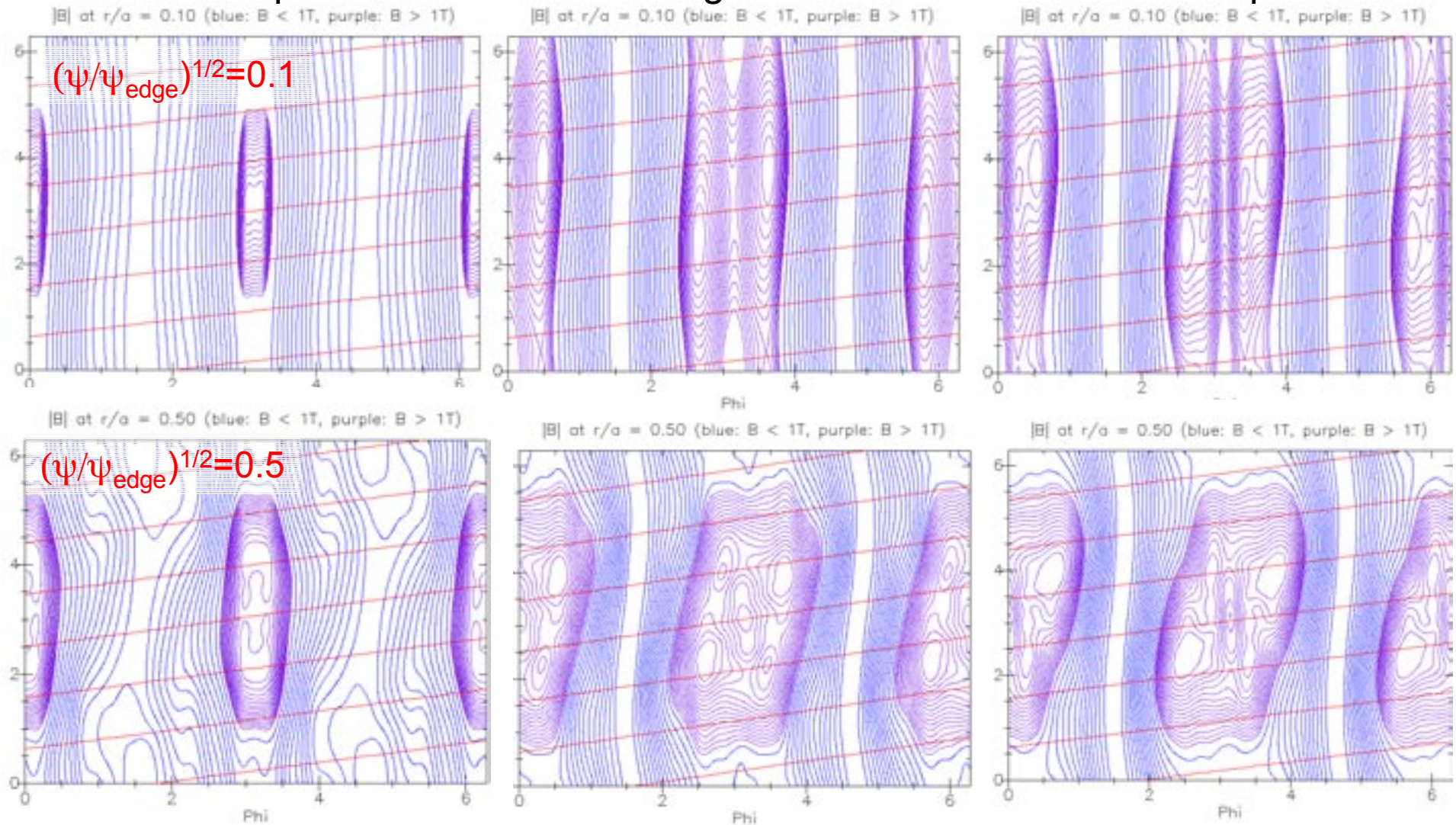
- Modular coil currents can vary $\pm 25\%$
- Vertical field currents can vary ± 100 kA
- Toroidal field currents can vary ± 70 kA

QPS Flexibility Studies - effect on $|B|$

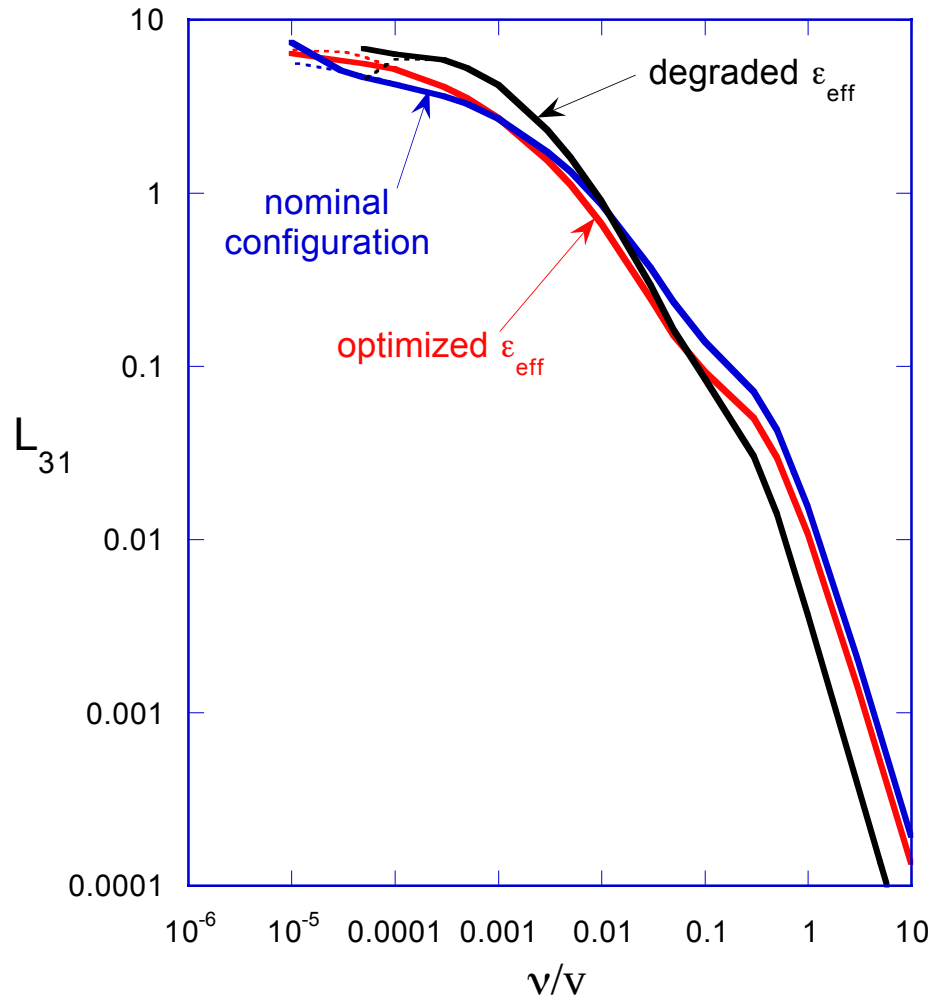
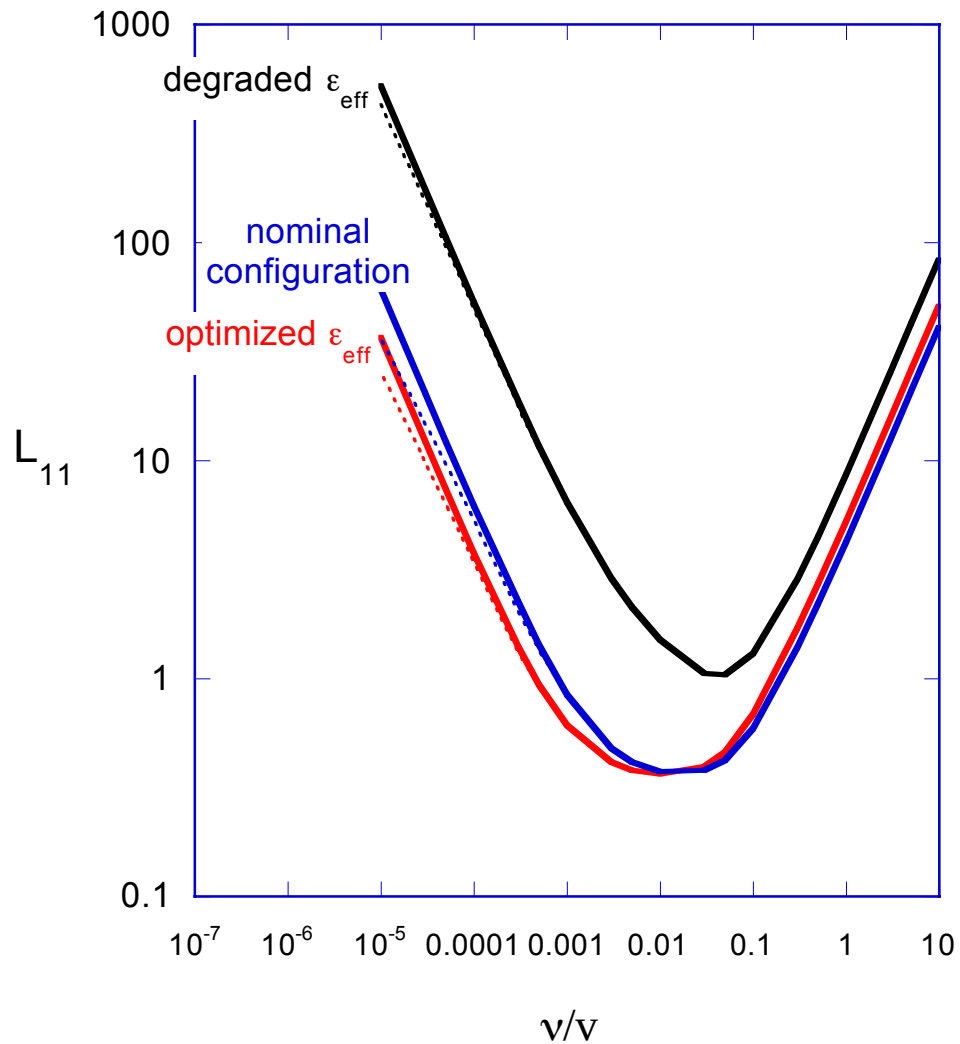
Degraded
transport

Nominal
configuration

Improved
transport



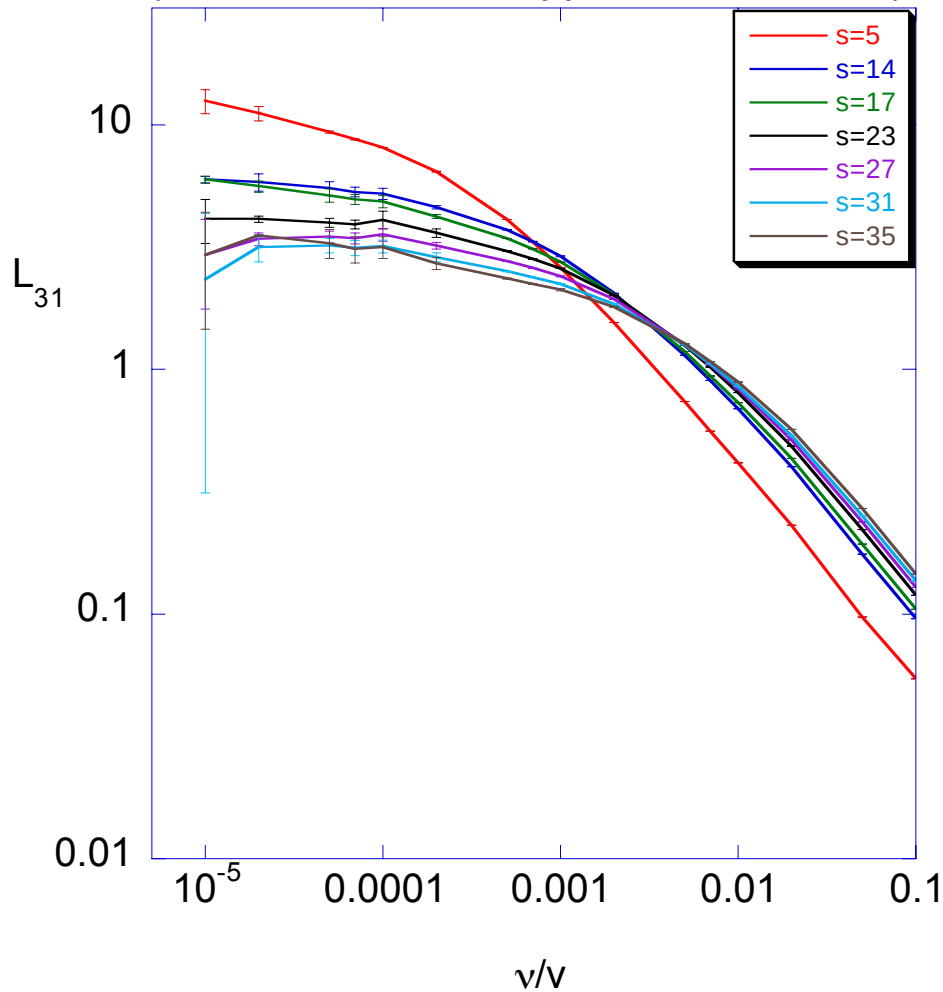
DKES L_{11} coefficient demonstrates sensitivity of transport to coil current optimization



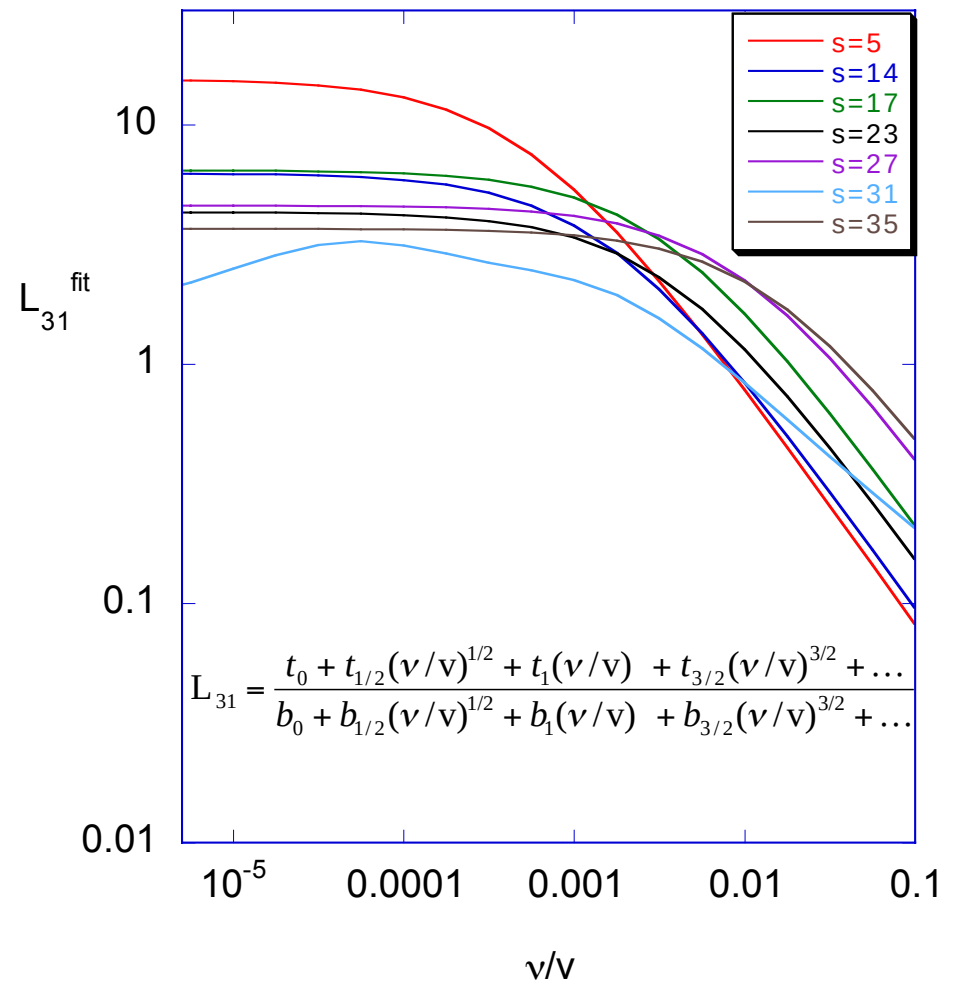
DKES L_{31} coefficient (bootstrap current for recent QPS device (411))

DKES results

(error bars indicate upper/lower bounds)

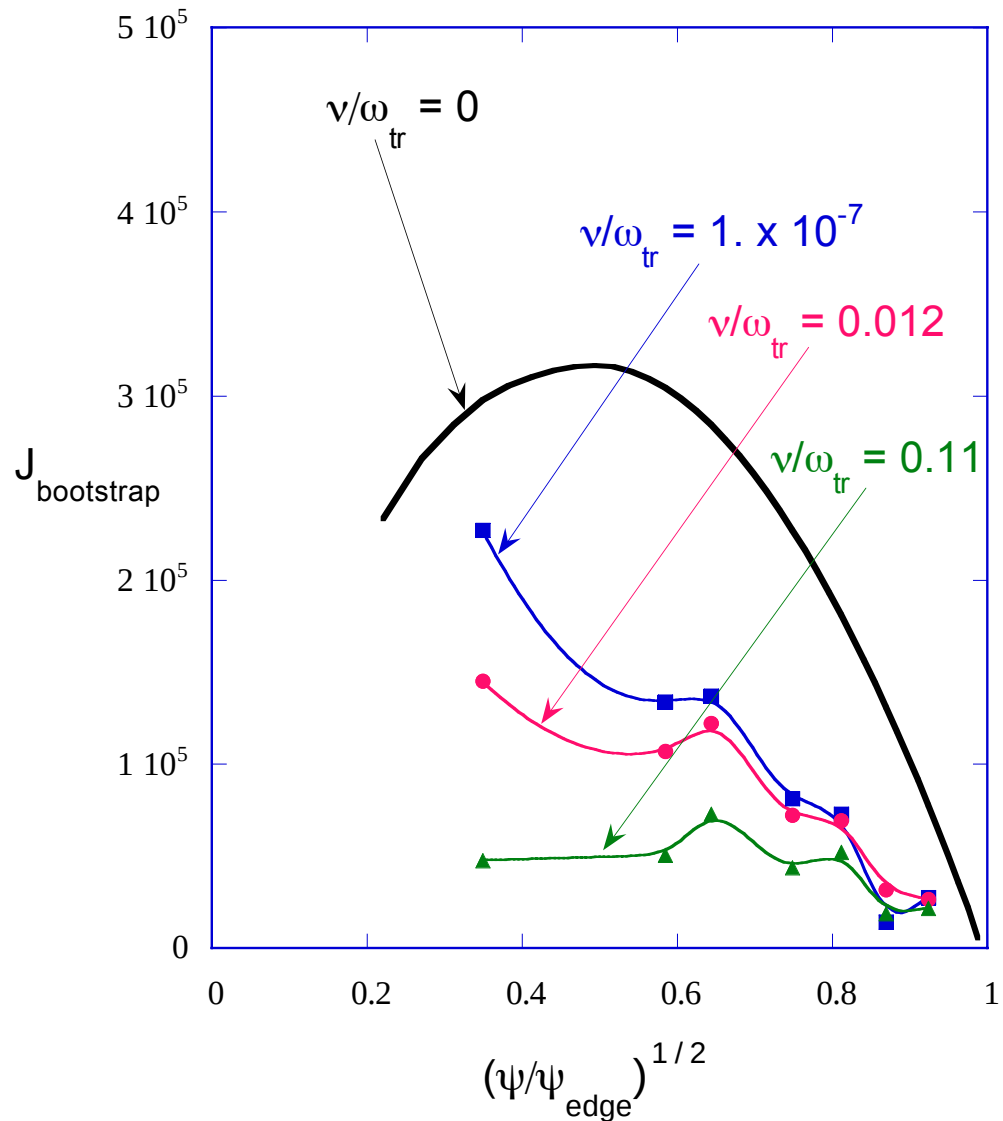


Fits using Padé approximant



Comparison of DKES predicted bootstrap current profiles with collisionless limit

- Collisionless limit based on BOOTSJ code of L. Berry/J. Tolliver - includes damping to avoid singularities at rational surfaces
- Based on:
 - K.C. Shaing, B.A. Carreras, N. Dominguez, V.E. Lynch, J.S. Tolliver, "Bootstrap current control in stellarators", Phys. Fluids B1, 1663 (1989)
 - K.C. Shaing, E.C. Crume, Jr., J.S. Tolliver, S.P. Hirshman, W.I. van Rij. "Bootstrap current and parallel viscosity in the low collisionality regime in toroidal plasmas", Phys. Fluids B1, 148 (1989).
 - K.C. Shaing, S.P. Hirshman, J.S. Tolliver "Parallel viscosity-driven neoclassical fluxes in the banana regime in nonsymmetrical Toroidal plasmas", Phys. Fluids 29, 2548 (1986).

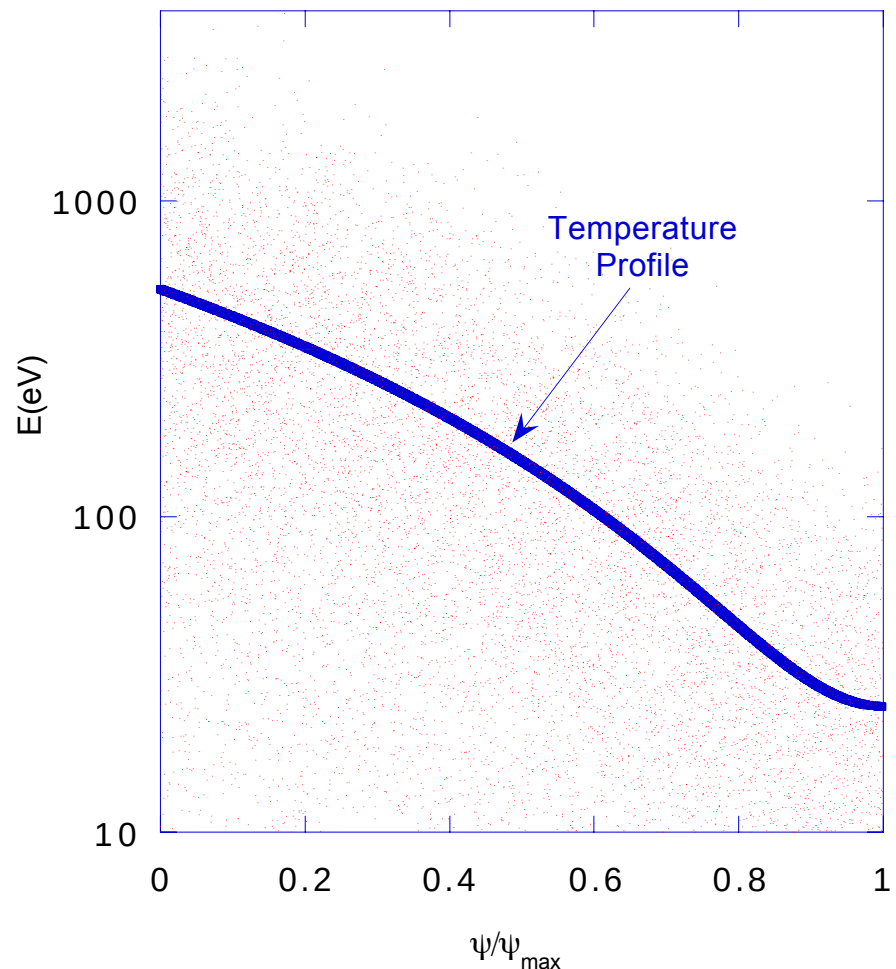


Monte Carlo procedure for estimating global energy lifetimes (DELTA5D code)

DELTA5D Monte Carlo

- start with particles distributed over cross section using PDF's consistent with assumed profiles and local Maxwellians
- follow ensemble in time, replacing particles (consistent with initial PDF's) as they leave outer surface
- Record energies of escaping particles - use to calculate τ_E
- Follow until approximate steady-state is achieved
- Vary potential (with fixed profile shape) to achieve global ambipolar balance of electron/ion particle loss rates

Typical initial Maxwellian particle loading for $T = 500\text{eV}$ $(1 - \psi/\psi_{\text{max}})^2$



Power flows for global single species test particle simulations:

DELTA5D Monte Carlo

- To achieve steady state, in a reasonable simulation time, terms (1) and (2) need to be balanced:

$$\frac{dW_{test,ion}}{dt} = \int (Q_{ii} + Q_{ei}) d^3v \quad (+/-) \quad (1)$$

- For $E_r = 0$
 - can include pitch angle and energy scattering if $Q_{ii} + Q_{ei}$ is weak during particle confinement time
 - or can include only pitch angle scattering

$$+ \int \vec{J} \cdot \vec{E} d^3v \quad (+/-) \quad (2)$$

$$(-) \quad (3)$$

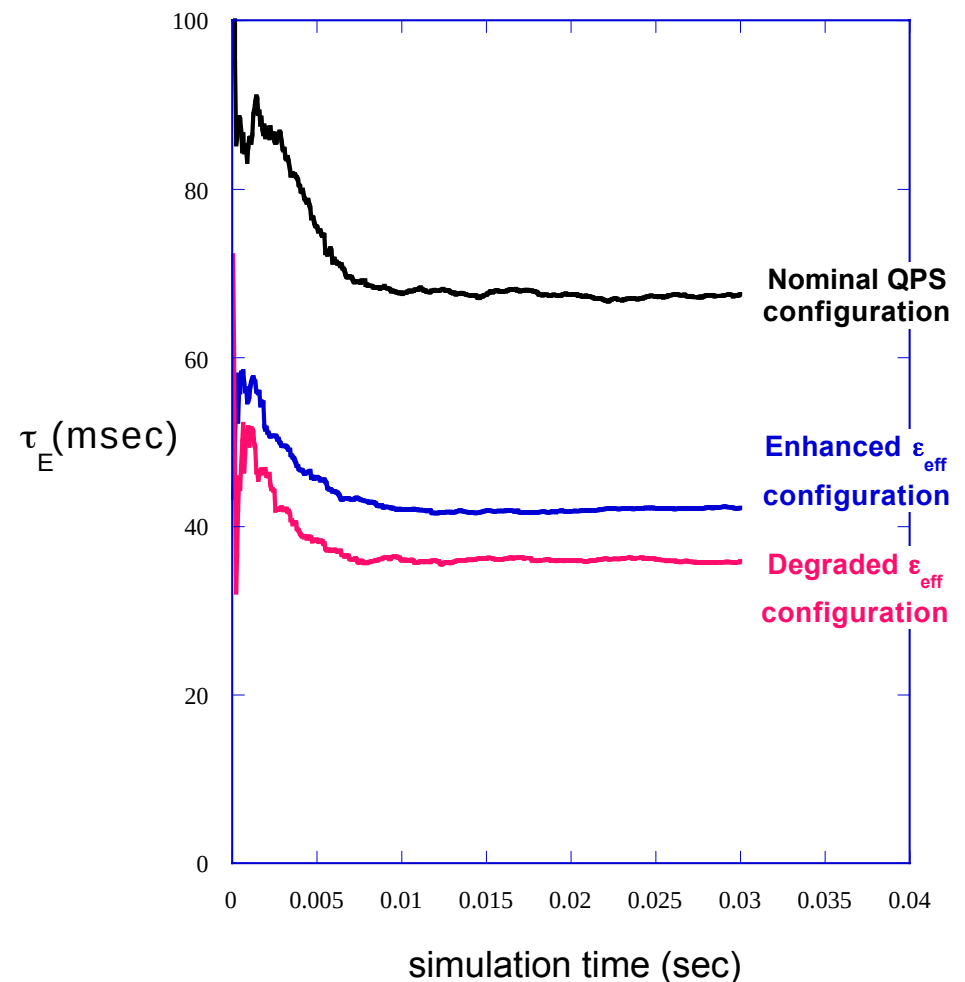
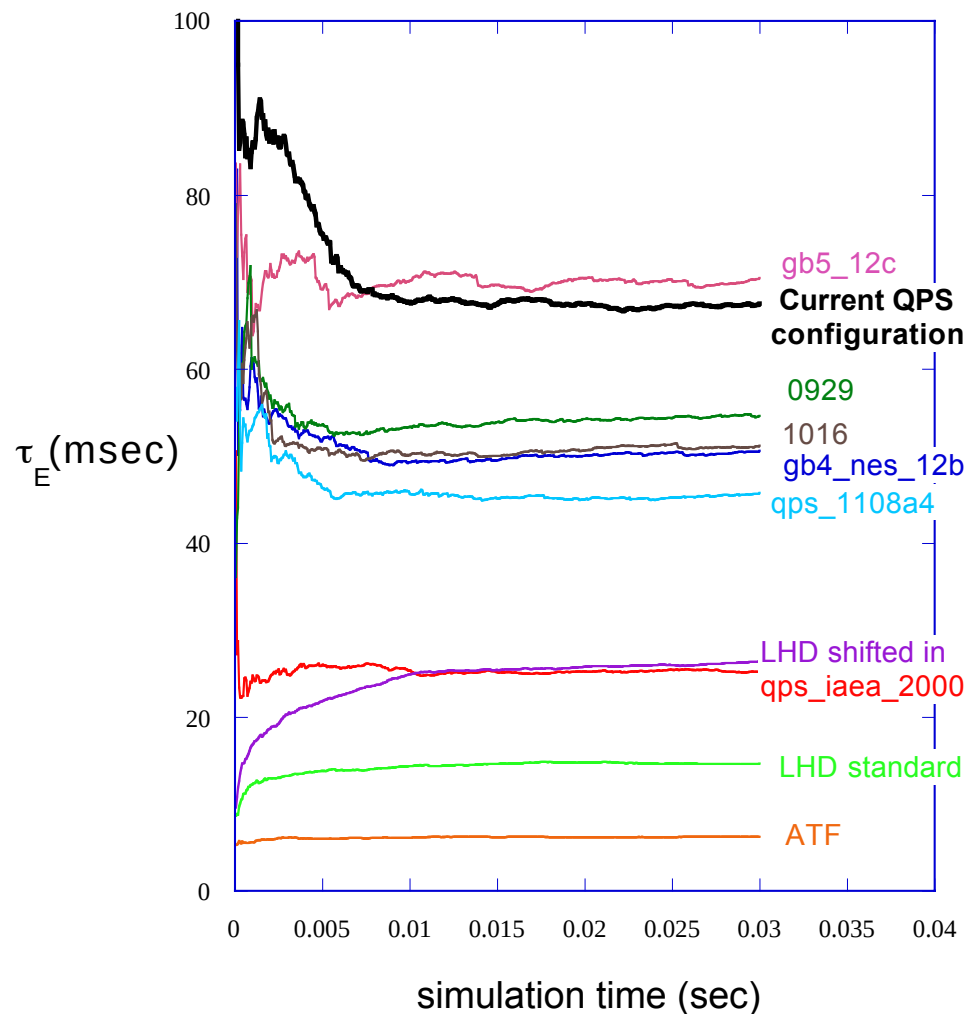
$$+ \int \frac{dW_{surface-loss}}{dt} dS \quad (+/-) \quad (4)$$

- For $E_r \neq 0$
 - rely on $Q_{ii} + Q_{ei}$ term (1) to redistribute energy loss/gain from term (2)
 - or can remove kinetic energy loss/gain (due to $e\Delta\phi$) each time step

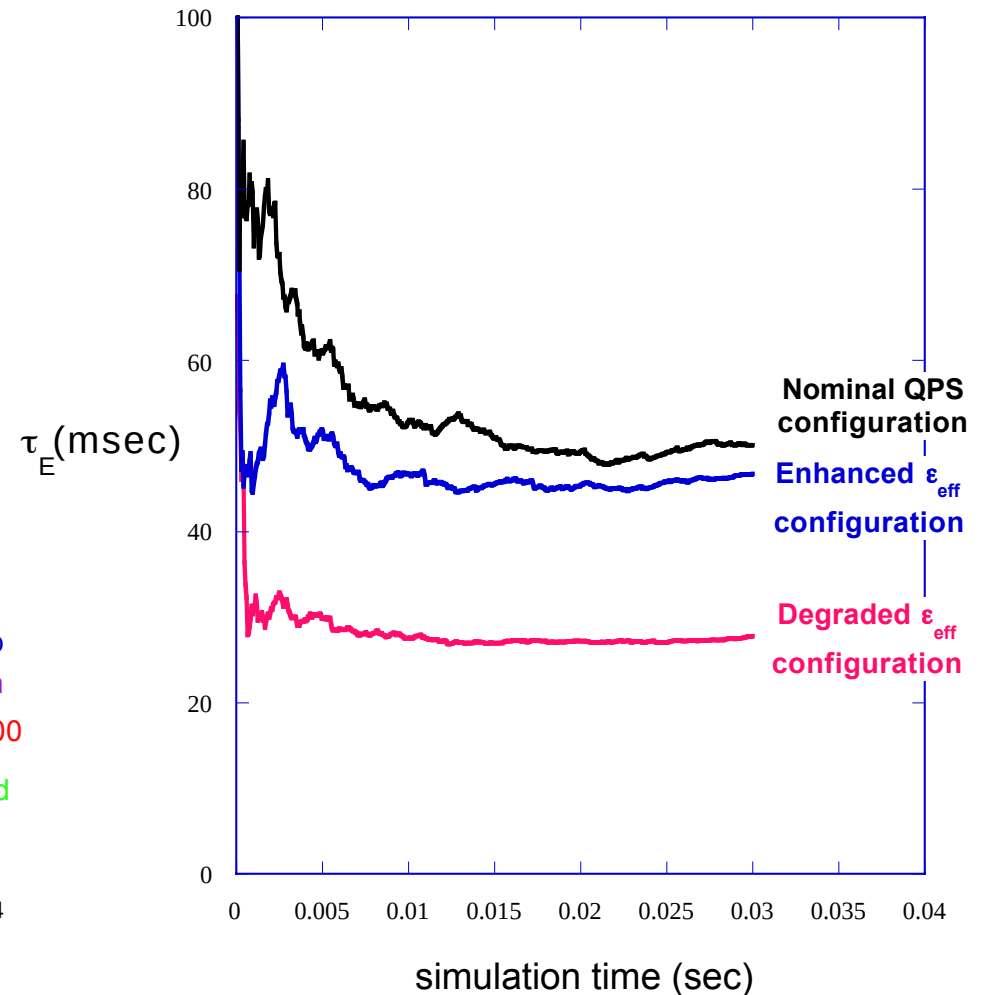
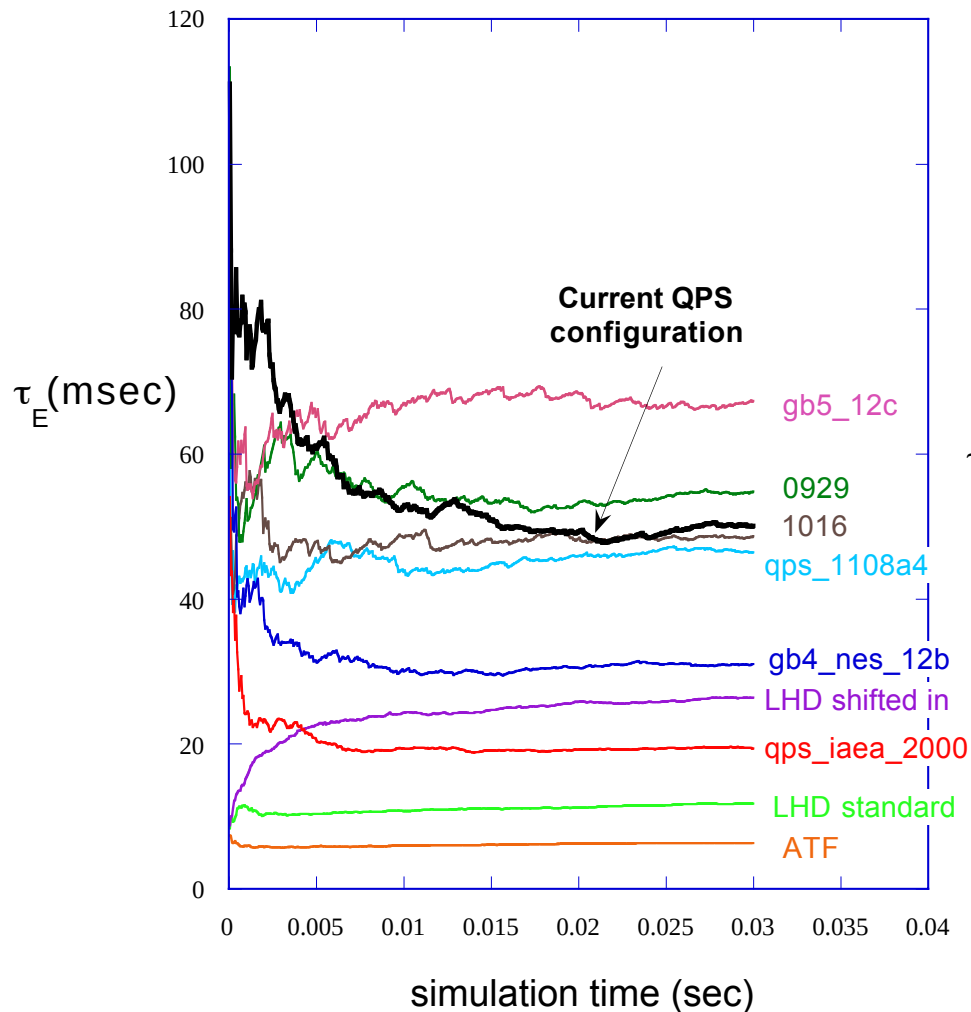
$$+ \int N_{replacement} [T(\psi) + e\phi] d^3v$$

$$\frac{dW_{test,electron}}{dt} = \text{Similar equations}$$

Monte Carlo studies of global ion confinement times for ICH parameters between devices (all at same R_0) and for different coil currents



Monte Carlo studies of global ion confinement times for ECH parameters between devices (all at same R_0) and in QPS for different coil currents



δf Bootstrap Current Calculation

[uses method of A. Boozer and M. Sasinowski, Phys. Plasmas **2** (1995) 610]

$$f = f_M + \delta f \quad f_M = \frac{n}{(2\pi kT)^{3/2}} e^{-mv^2/kT}$$

$$J_{bootstrap} = q \int d^3x \, d^3v \, v_{\parallel} \delta f$$

$$= \frac{2\pi q}{\int d^3x \, g^{-1/2}} \int v^2 dv \, d\lambda \, d^3x \, v_{\parallel} \, g^{-1/2} \delta f$$

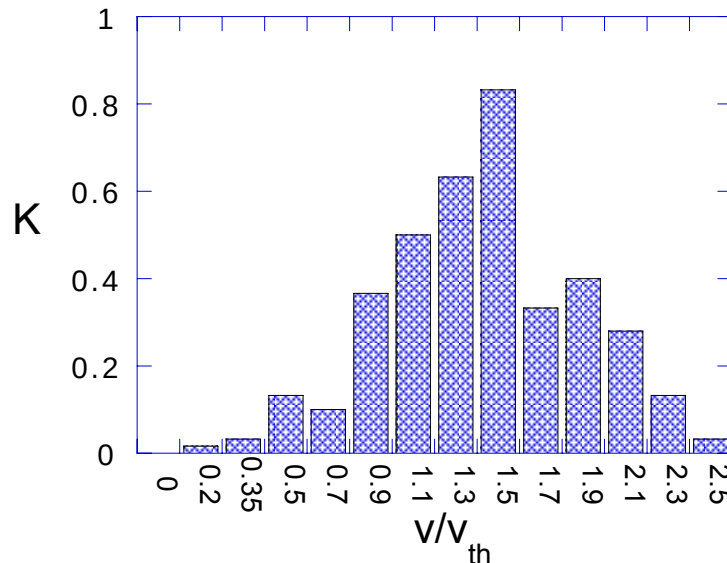
$$\frac{d(\delta f)}{dt} - C(\delta f) = -\frac{\partial \psi}{\partial t} \frac{\partial f_M}{\partial \psi}$$

$$\text{with } \sqrt{g} = \text{Jacobian} = \mu_0 (G + iI) / B^2$$

$$\delta f = -(\psi - \psi_0) \frac{\partial f_M}{\partial \psi}$$

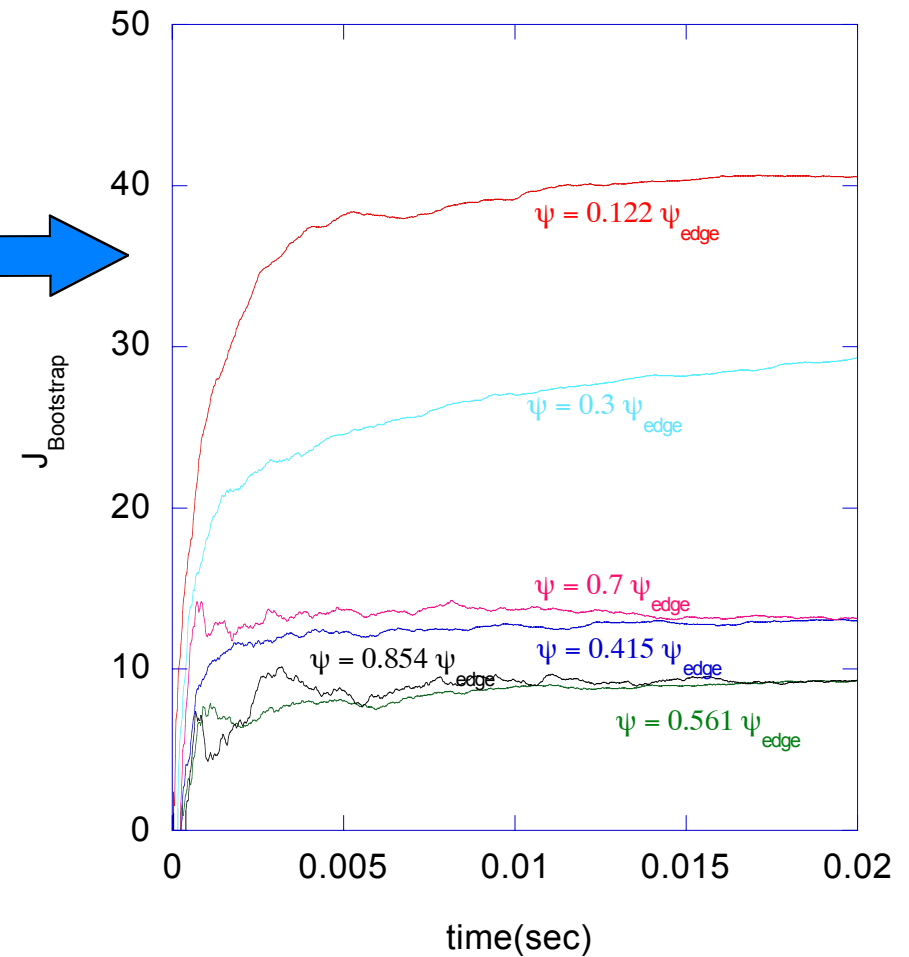
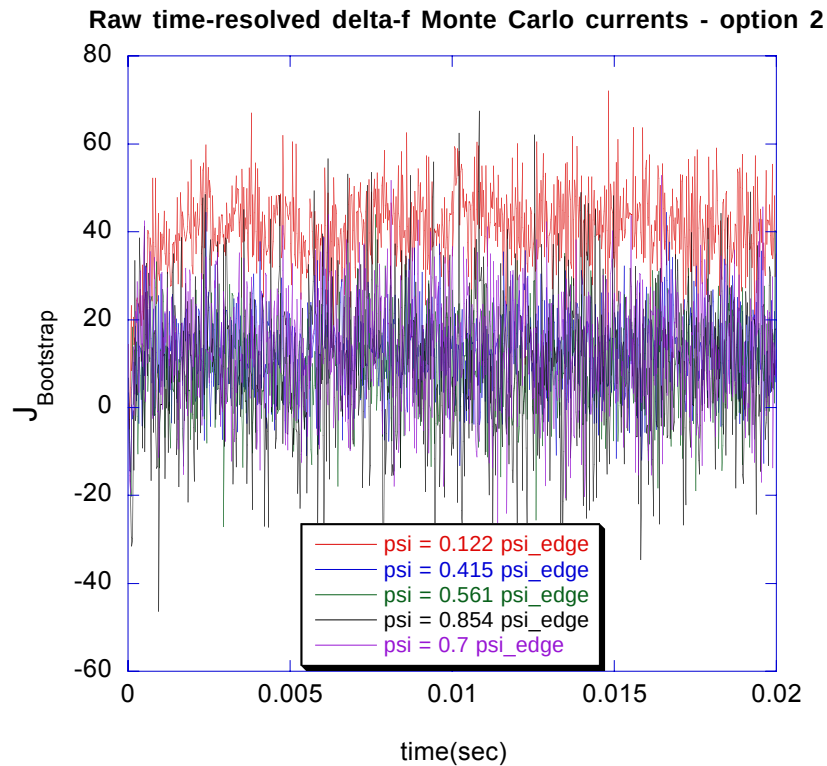
(evaluated at particle locations)

$$J_{bootstrap} = -4\pi \int v^2 dv \, K(\psi, v, t) \frac{\partial f_M}{\partial \psi}$$



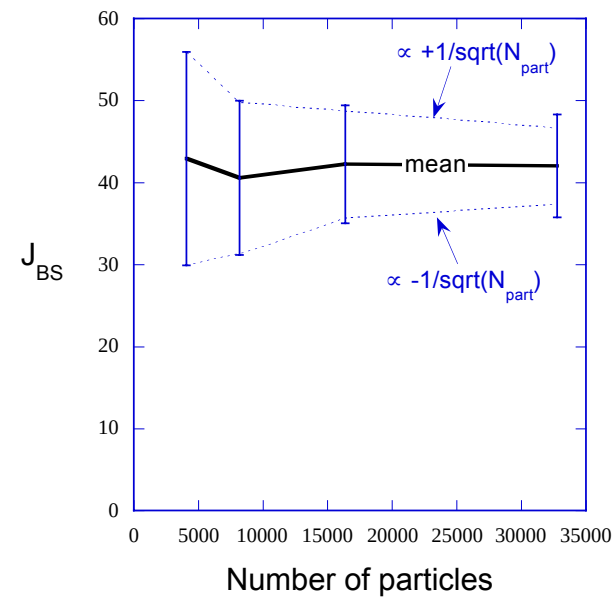
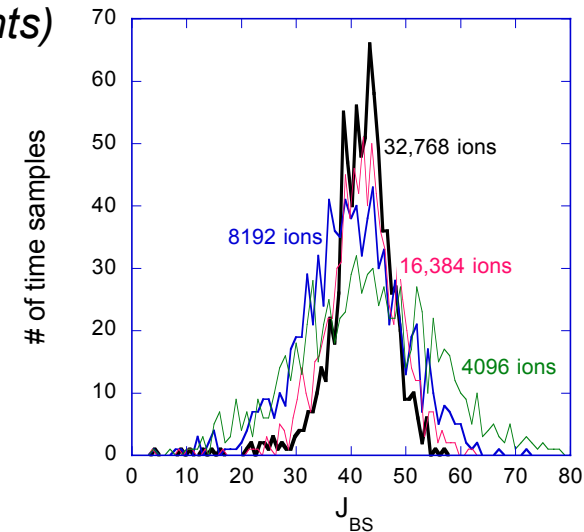
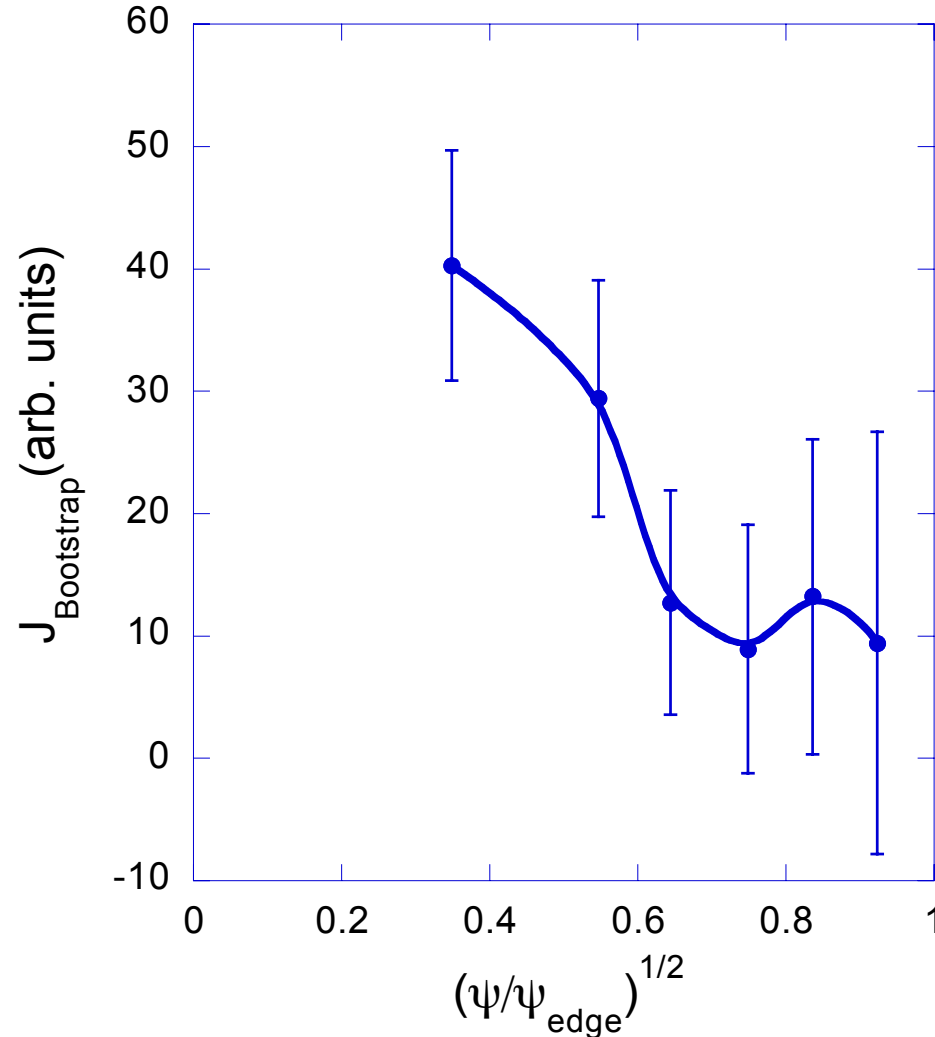
$$\text{where } K(\psi, v, t) = \frac{\sum_{i=1}^N v_{\parallel,i} (\psi_i - \psi_0) g_i^{-1/2}}{2 \sum_{i=1}^N g_i^{-1/2}}$$

δf Monte Carlo scan of Bootstrap current vs. flux surface



δf Monte Carlo Bootstrap current: profile and error bars

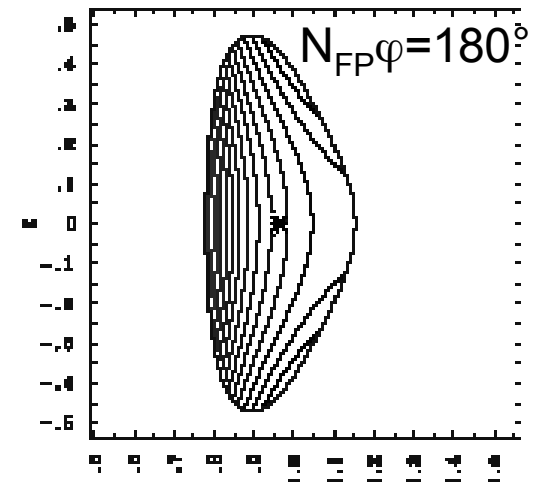
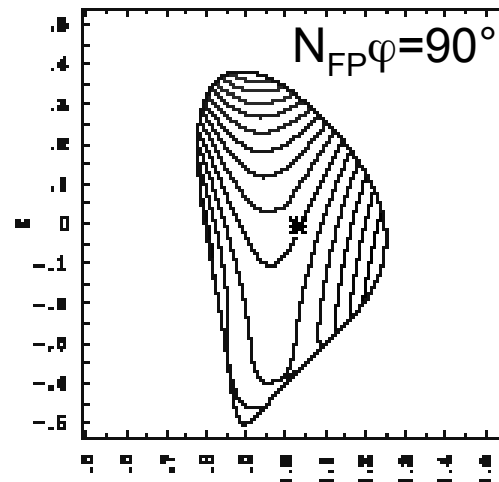
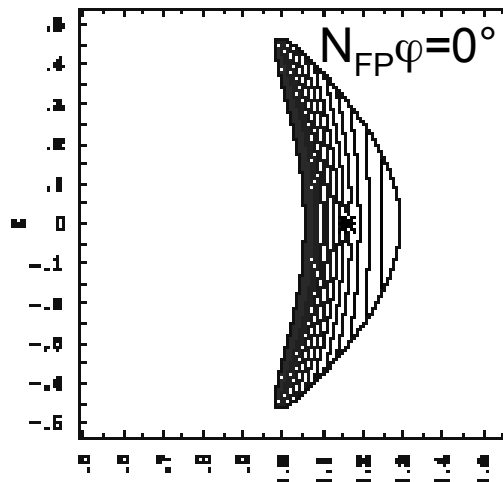
(Error bars are based on one standard deviation away from the mean in the above saturated time resolved currents)



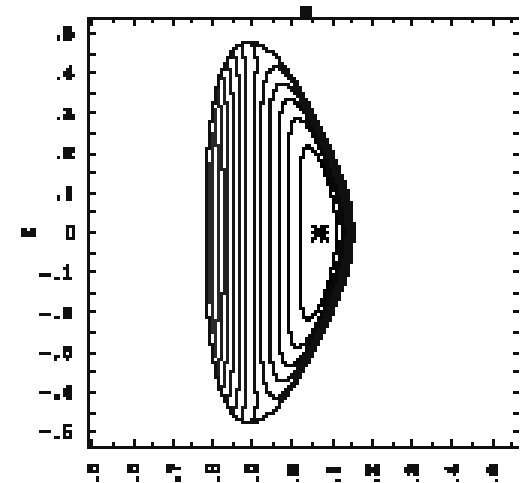
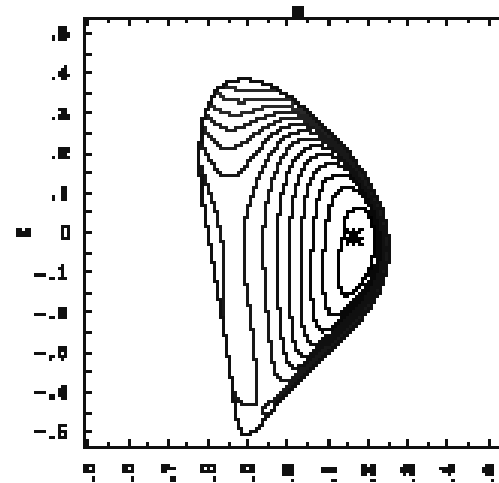
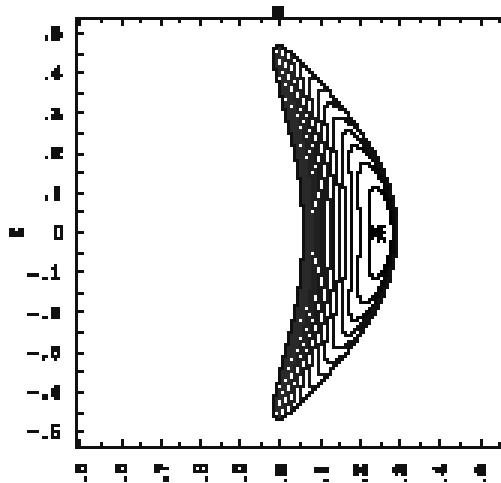
High β configuration: $|B|$ contours start to close and align with ψ :



$\beta=0\%$



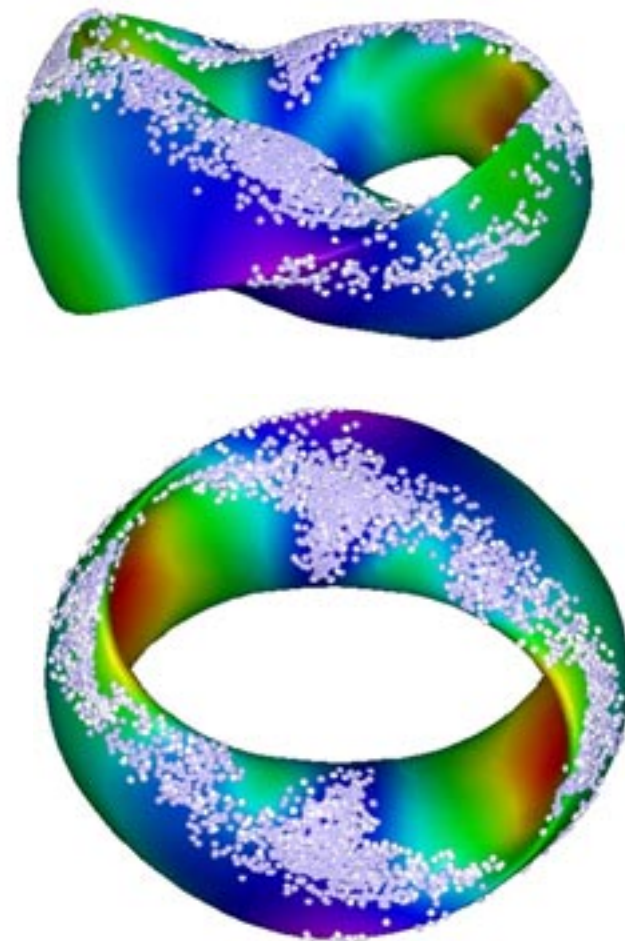
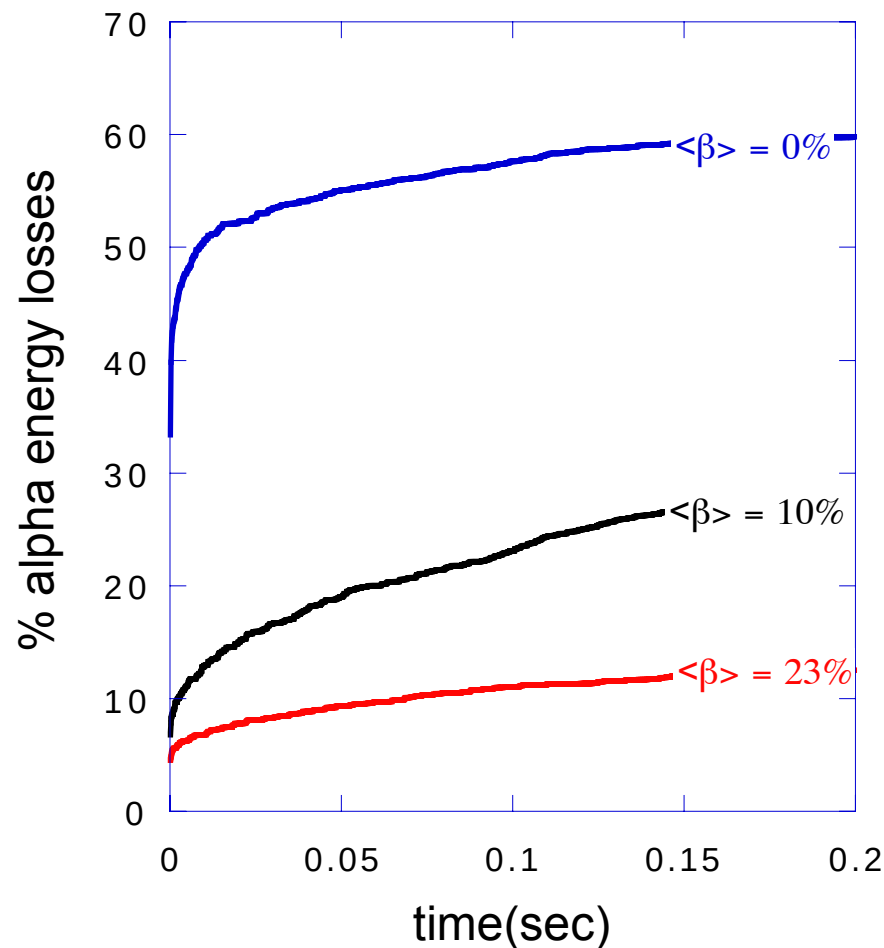
$\beta=23\%$



α -particle slowing-down simulations show these devices indicate very good confinement with increasing β .

Alpha confinement

The configuration was scaled to $\langle B \rangle = 5T$ and $R_0 = 10m$ for alpha confinement studies



Fast ion driven Alfvén Instabilities

- Motivations for study of stellarators Alfvén instabilities
 - Seen experimentally (W7-AS, CHS, LHD)
 - A. Weller, D. A. Spong, et al., Phys. Rev. Lett. **72**, 1220 (1994); K. Toi, et al., Nucl. Fusion **40**, 149 (2000); A. Weller, et al., Phys. of Plasmas **8** 931(2001)
 - Enhanced loss of fast ions
 - Diagnostic use (MHD spectroscopy)
 - Channeling of fast ion energy to thermal ions
- Low aspect ratio configurations provide a new environment for Alfvén studies
 - Stronger equilibrium mode couplings
 - Lower number of field periods lead to
 - More closely coupled toroidal modes ($n_0, \pm n_0 \pm N_{fp}$, etc.)
 - This leads to HAE (Helical Alfvén) couplings at lower frequencies

Alfvén Gap structure using STELLGAP code

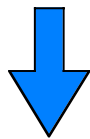
Stellarator Alfvén Couplings

Alfvén coupling condition: $k_{||,m,n} = -k_{||,(m+\Delta),(n+\alpha N_{fp})}$

$\Delta, \alpha = \text{integers}$

$$n - m i = -(n + \alpha N_{fp} - m i - \Delta i)$$

$$i = \frac{2n + \alpha N_{fp}}{2m + \Delta} \quad \omega = \frac{v_A}{R} \frac{\Delta n - \alpha m N_{fp}}{2m + \Delta}$$



- GAE (global Alfvén mode): $\alpha = 0, \Delta = 0$
- TAE (toroidal Alfvén mode): $\alpha = 0, \Delta = \pm 1$
- EAE (elliptical Alfvén mode): $\alpha = 0, \Delta = \pm 2$
- NAE (noncircular Alfvén mode): $\alpha = 0, |\Delta| > 2$
- MAE (mirror Alfvén mode): $\alpha = 1, \Delta = 0$
- HAE (helical Alfvén mode): $\alpha = 1, \Delta \neq 0$

This leads to a set of 3 coupled equations. A singularity condition gives the Alfvén continuum

[A. Salat, J. A. Tataronis, Phys. Plasmas 8, 1200 (2001)]

$$\begin{cases} L^{11}V = \frac{|B|^2}{G + iI} \left[I \frac{\partial W_1}{\partial \zeta} - G \frac{\partial W_1}{\partial \theta} \right] - L^{21}W_2 + \frac{(\vec{J} \cdot \vec{B})}{G + iI} \left(i \frac{\partial W_2}{\partial \theta} + \frac{\partial W_2}{\partial \zeta} \right) \\ \frac{\partial W_1}{\partial \psi} = \frac{1}{|B|^2} (L^{22}W_2 + L^{21}V) + \frac{(\vec{J} \cdot \vec{B})}{G + iI} \left(i \frac{\partial V}{\partial \theta} + \frac{\partial V}{\partial \zeta} \right) \\ \frac{\partial W_2}{\partial \psi} = -|B|^2 W_1 + \frac{1}{G + iI} \left(G \frac{\partial V}{\partial \theta} - I \frac{\partial V}{\partial \zeta} \right) \end{cases}$$

$$V = E_1$$

$$W_1 = i\omega B_3$$

$$W_2 = E_2$$

$$\text{with } r^1 = \psi$$

$$r^2 = \theta - i\zeta$$

$$r^3 = I(\psi)\theta - G(\psi)\zeta$$

i = rotational transform

G = poloidal current

I = toroidal current

$$\text{where } L^{11} = \mu_0 \rho \omega^2 \frac{g^{\psi\psi}}{|B|^2} + \vec{B} \cdot \vec{\nabla} \frac{g^{\psi\psi}}{|B|^2} \vec{B} \cdot \vec{\nabla}$$

$$L^{21} = \mu_0 \rho \omega^2 \frac{(g^{\theta\psi} - ig^{\zeta\psi})}{|B|^2} + \vec{B} \cdot \vec{\nabla} \frac{(g^{\theta\psi} - ig^{\zeta\psi})}{|B|^2} \vec{B} \cdot \vec{\nabla}$$

$$L^{22} = \mu_0 \rho \omega^2 \frac{(g^{\theta\theta} - 2ig^{\zeta\theta} + i^2 g^{\zeta\zeta})}{|B|^2} + \vec{B} \cdot \vec{\nabla} \frac{(g^{\theta\theta} - 2ig^{\zeta\theta} + i^2 g^{\zeta\zeta})}{|B|^2} \vec{B} \cdot \vec{\nabla}$$

Singular for Alfvén continuum condition : $L^{11}V = 0$

Stellarator Alfvén Continuum Equation

The continuum equation in general geometry (low β) can be written:

$$\mu_0 \rho \omega^2 \frac{|\nabla \psi|^2}{B^2} E_\psi + \vec{B} \cdot \vec{\nabla} \left\{ \frac{|\nabla \psi|^2}{B^2} (\vec{B} \cdot \vec{\nabla}) E_\psi \right\} = 0 \quad (1)$$

This can be written in Boozer coordinates using the following:

$$\vec{B} \cdot \vec{\nabla} = \frac{1}{\sqrt{g}} \left(i \frac{\partial}{\partial \theta} + \frac{\partial}{\partial \zeta} \right) \quad \text{and} \quad |\vec{\nabla} \psi|^2 = g^{\rho\rho} \left(\frac{d\psi}{d\rho} \right)^2$$

For devices with stellarator symmetry, the surface displacement can be expanded as follows:

$$E_\psi = \sum_{j=1}^L E_\psi^j e_j \quad \text{where} \quad e_j = \cos(n_j \zeta - m_j \theta)$$

Multiplying equation (1) by the Jacobian and integrating over a flux surface then leads to:

$$\mu_0 \omega^2 \rho \left\langle e_i \sqrt{g} \frac{g^{\rho\rho}}{B^2} \sum_{j=1}^L E_\psi^j e_j \right\rangle + \left\langle e_i \left(i \frac{\partial}{\partial \theta} + \frac{\partial}{\partial \zeta} \right) \frac{g^{\rho\rho}}{B^2 \sqrt{g}} \left(i \frac{\partial}{\partial \theta} + \frac{\partial}{\partial \zeta} \right) \sum_{j=1}^L E_\psi^j e_j \right\rangle = 0$$

Stellarator Alfvén Continuum Equation (contd.)

Integrating by parts then leads to the following symmetric matrix eigenvalue problem:

$$\omega^2 \mathbf{A} \mathbf{x} = \mathbf{B} \mathbf{x}$$

$$\text{where } \mathbf{A} = [a_{ij}] = \mu_0 \rho \left\langle e_i \sqrt{g} \frac{g^{\rho\rho}}{B^2} e_j \right\rangle$$

$$\mathbf{B} = [b_{ij}] = \left\langle \frac{g^{\rho\rho}}{B^2 \sqrt{g}} \left(i \frac{\partial e_i}{\partial \theta} + \frac{\partial e_i}{\partial \zeta} \right) \left(i \frac{\partial e_j}{\partial \theta} + \frac{\partial e_j}{\partial \zeta} \right) \right\rangle$$

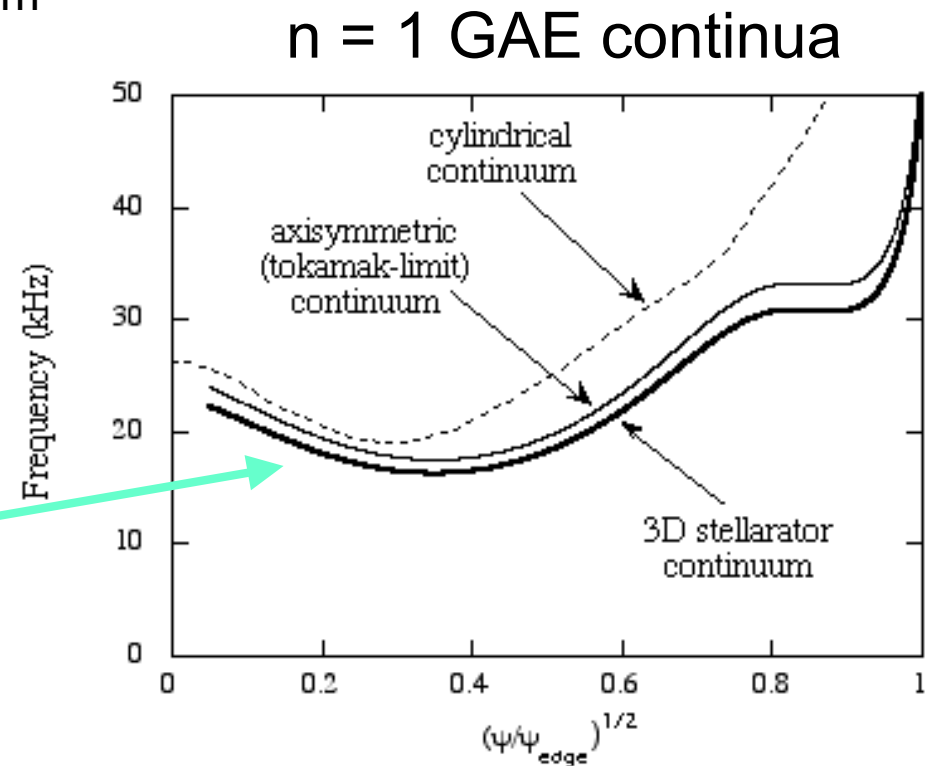
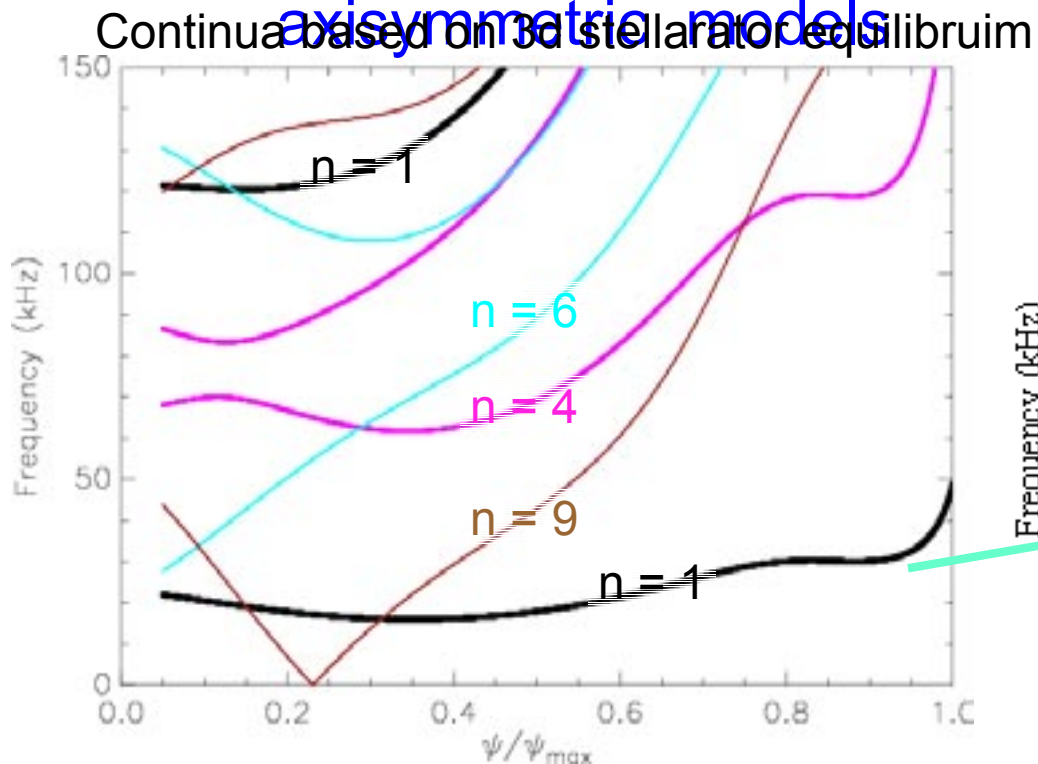
$$\mathbf{x} = [\xi_s^1 \ \xi_s^2 \ \cdots \ \xi_s^L]^T$$

W7-AS: discharge 40173

[Similar to cases analyzed in A. Weller, D. A. Spong, et al., Phys. Rev. Lett. **72** (1994) 1220]

- In experiment, fluctuations were observed at ~18 kHz
- GAE mode: below the lowest $n = 1$ continuum
- Such modes can be approximated by cylindrical or

axisymmetric models



W7-AS: discharge 42873

5 field periods $R/\langle a \rangle = 12$

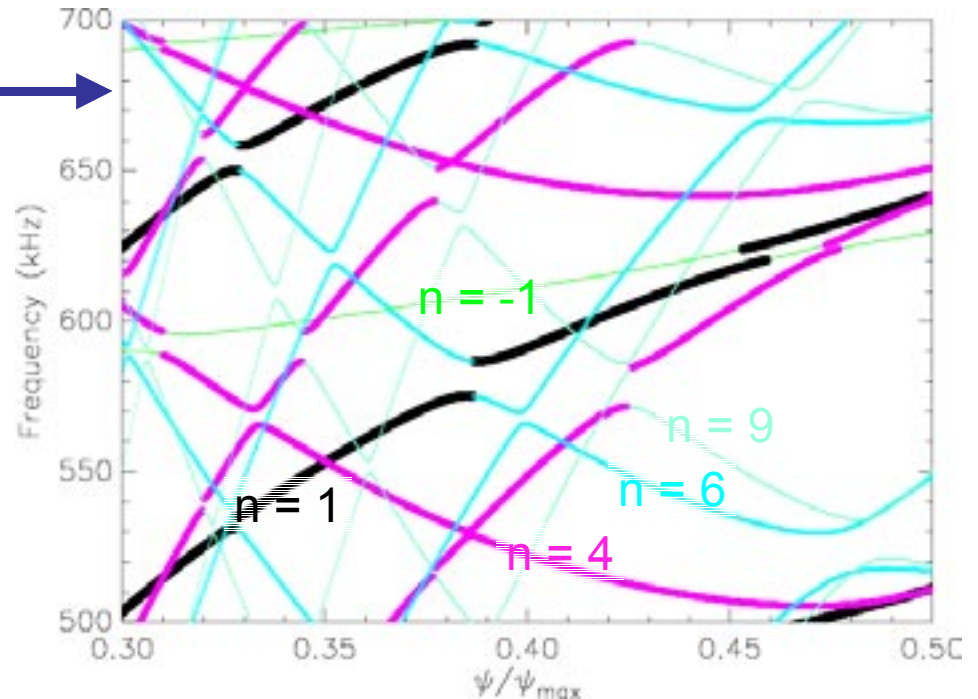
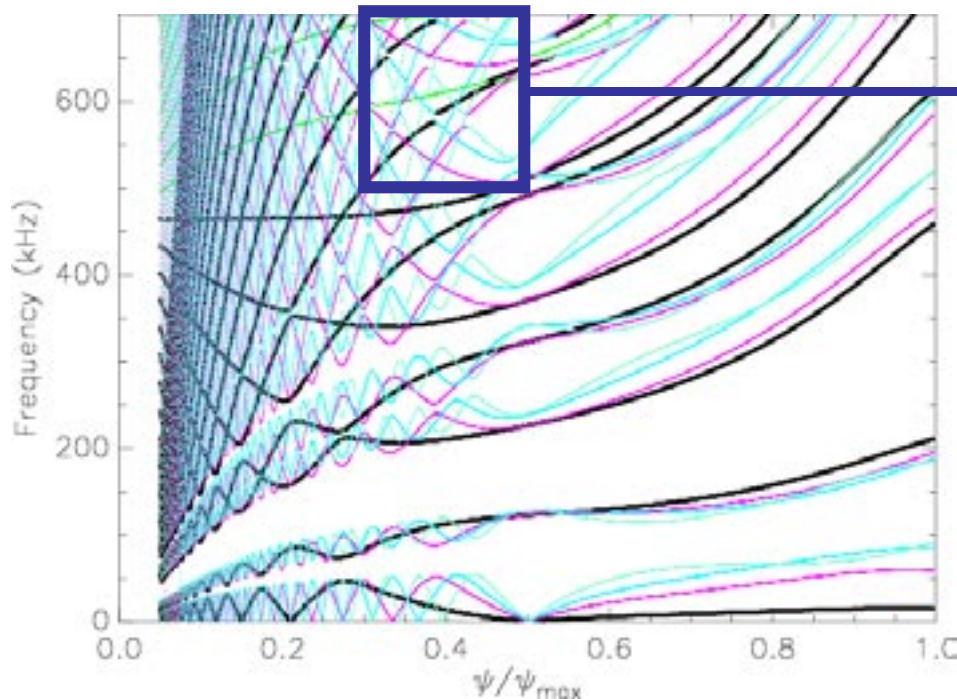
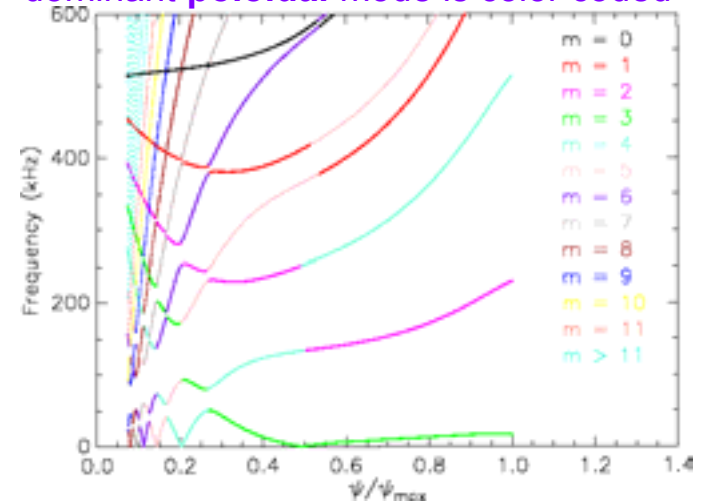
fluctuations observed at 30-50 kHz

Continua with multiple toroidal modes

$n = 1$ mode family stellarator continua

dominant **toroidal** mode is color coded

Axisymmetric limit
dominant **poloidal** mode is color coded

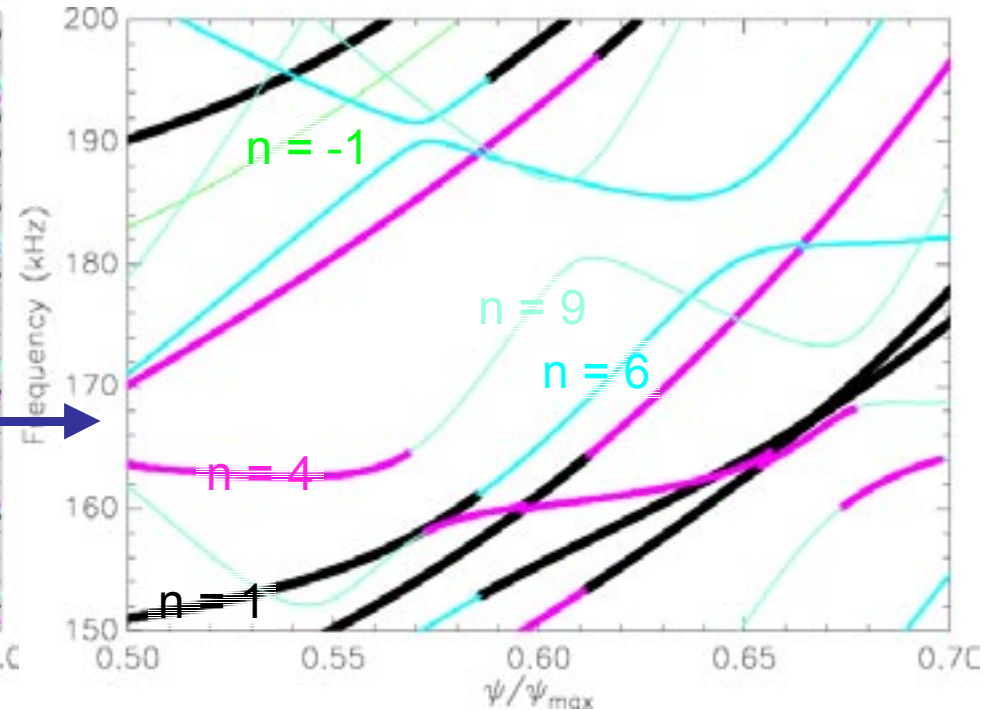
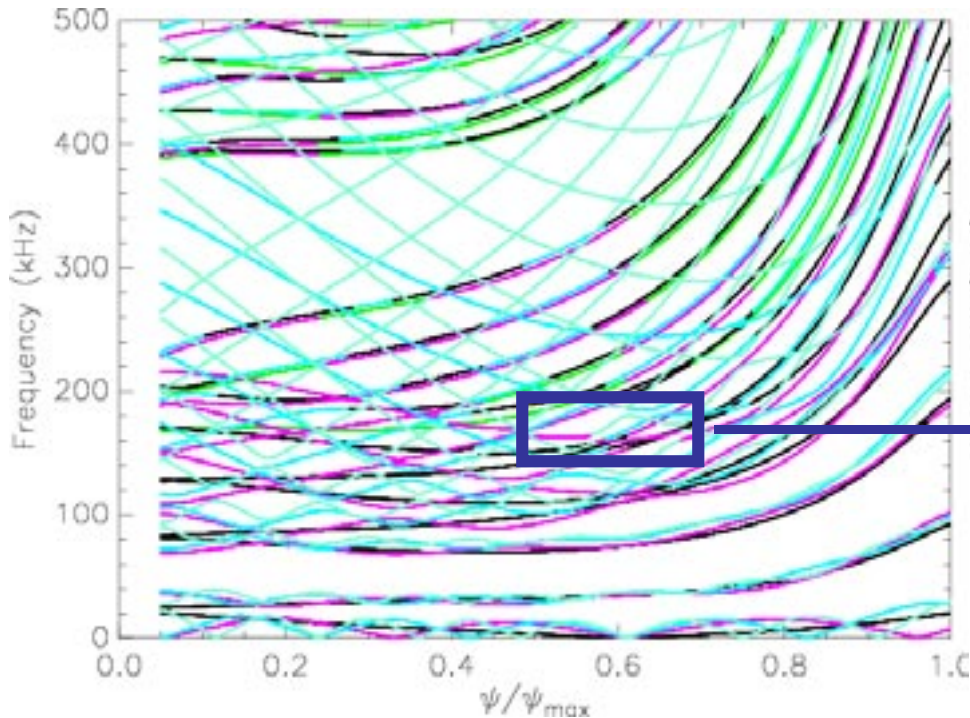
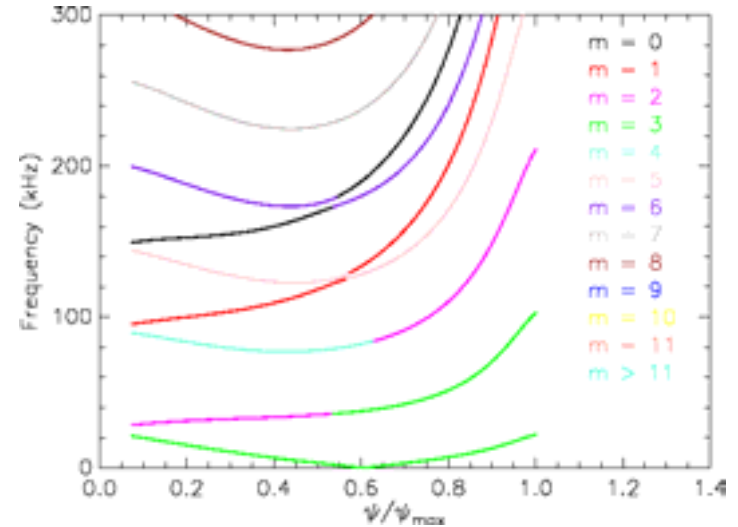


W7-AS: discharge 43348

fluctuations observed at: 30-40, 50-60,
85-100, 125-150, 180-200, and 210-240 kHz

Continua with multiple toroidal modes
 $n = 1$ mode family stellarator continua
dominant **toroidal** mode is color coded

Axisymmetric limit
dominant **poloidal** mode is color coded



LHD

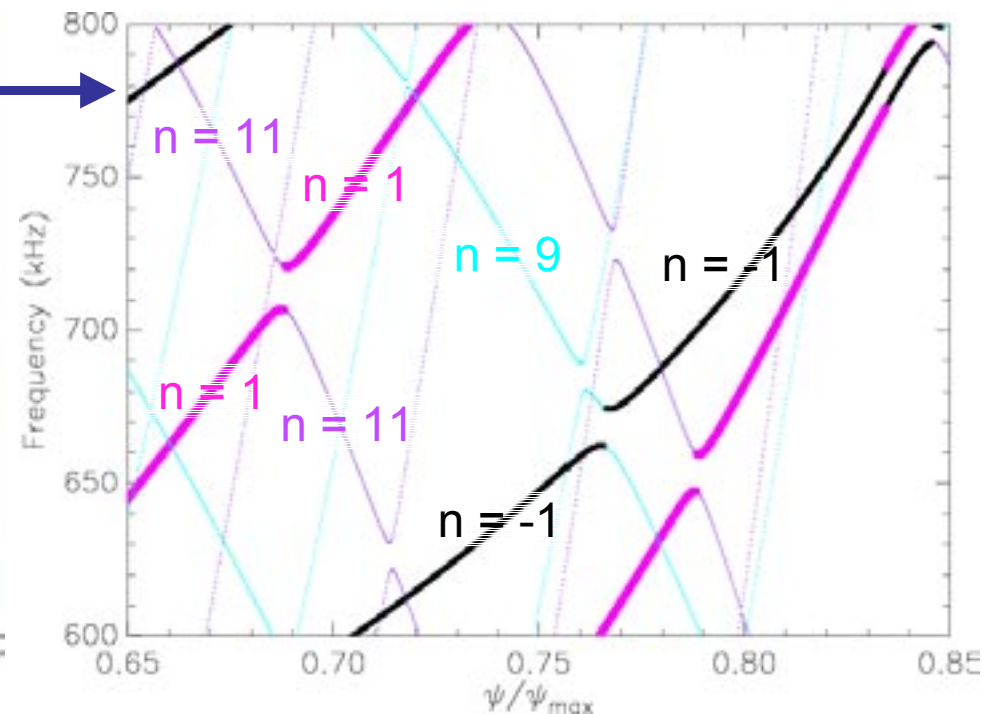
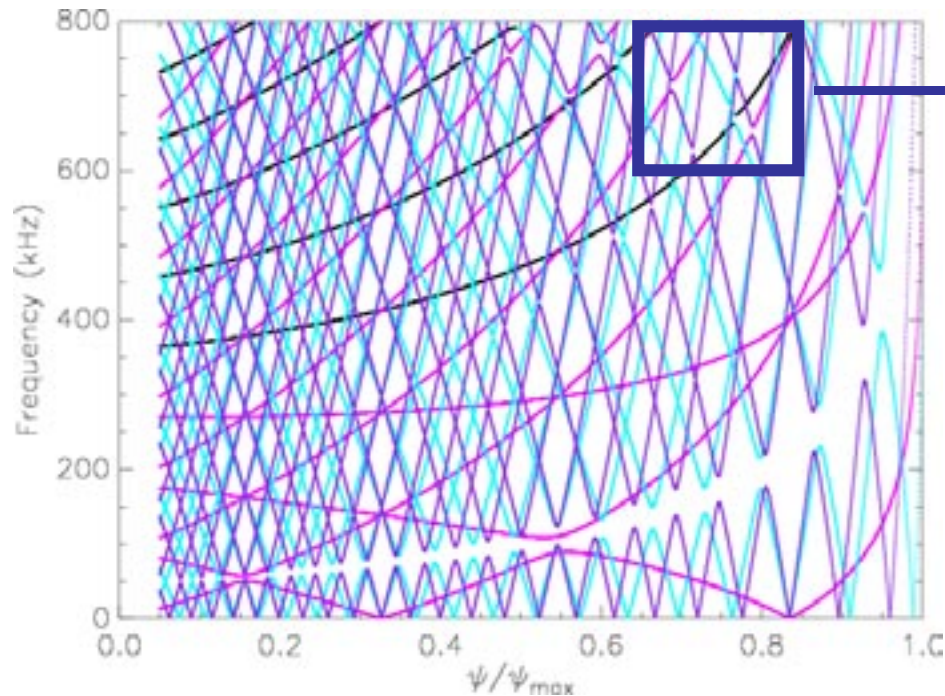
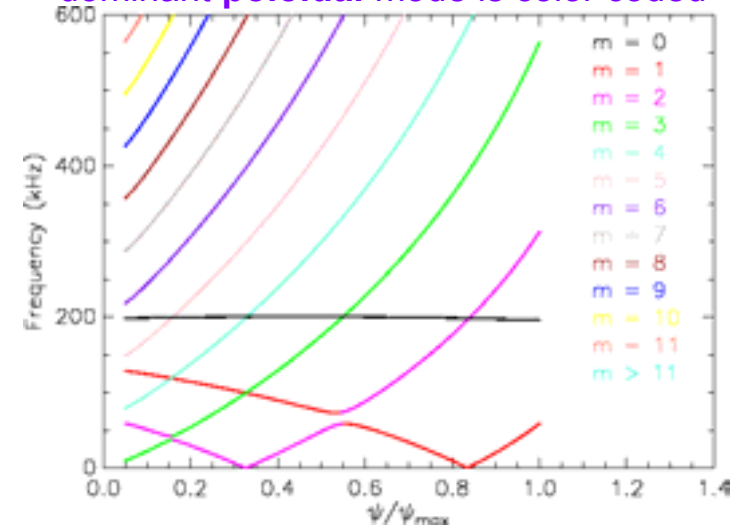
(10 field periods, $R/\langle a \rangle = 6$, torsatron)

Continua with multiple toroidal modes

$n = 1$ mode family stellarator continua

dominant **toroidal** mode is color coded

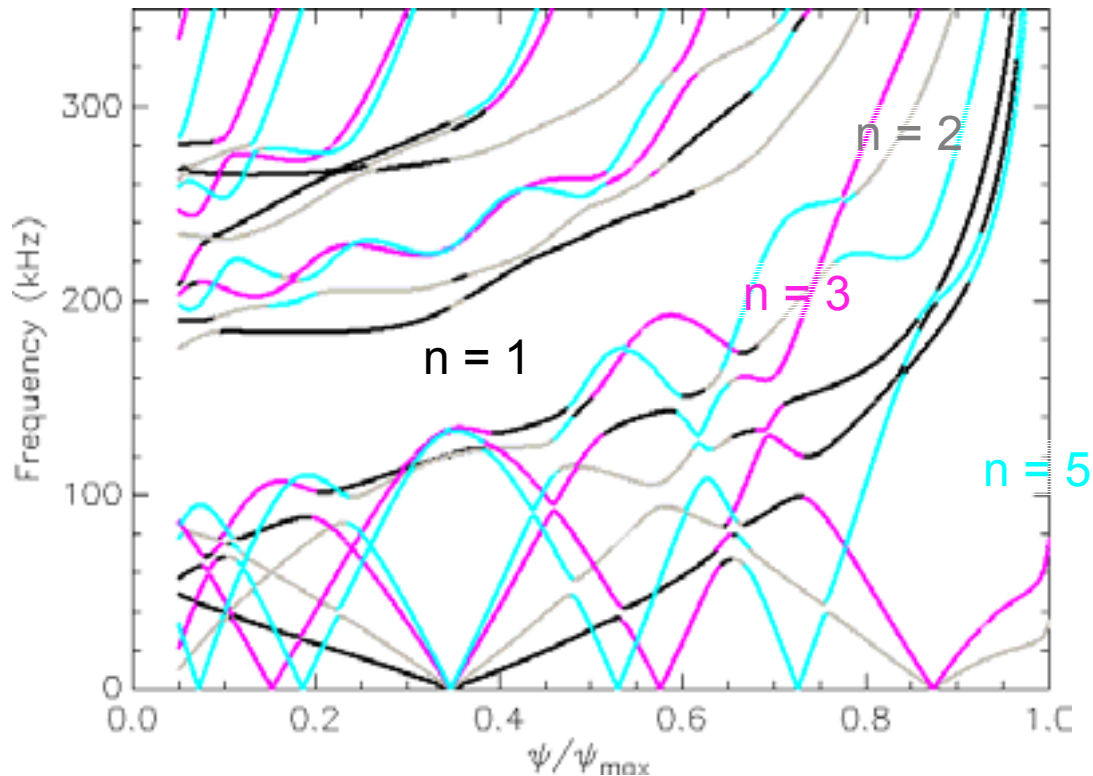
Axisymmetric limit
dominant **poloidal** mode is color coded



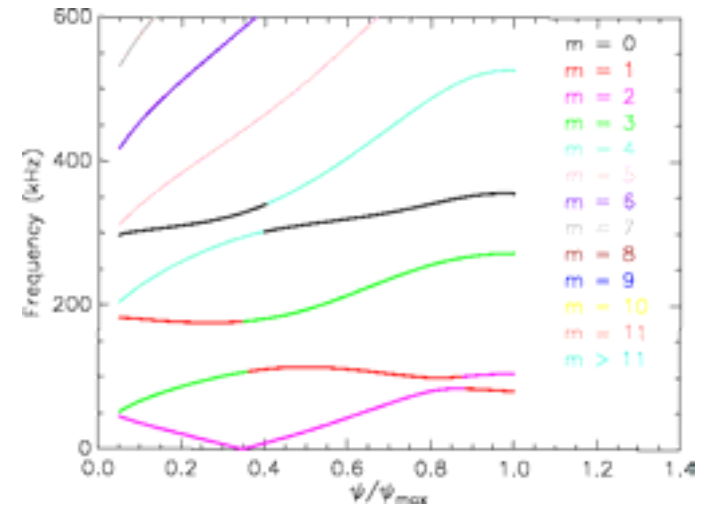
NCSX

3 field period, $R/\langle a \rangle = 4.4$
quas-toroidal symmetry

Continua with multiple toroidal modes
 $n = 1$ mode family stellarator continua
dominant **toroidal** mode is color coded

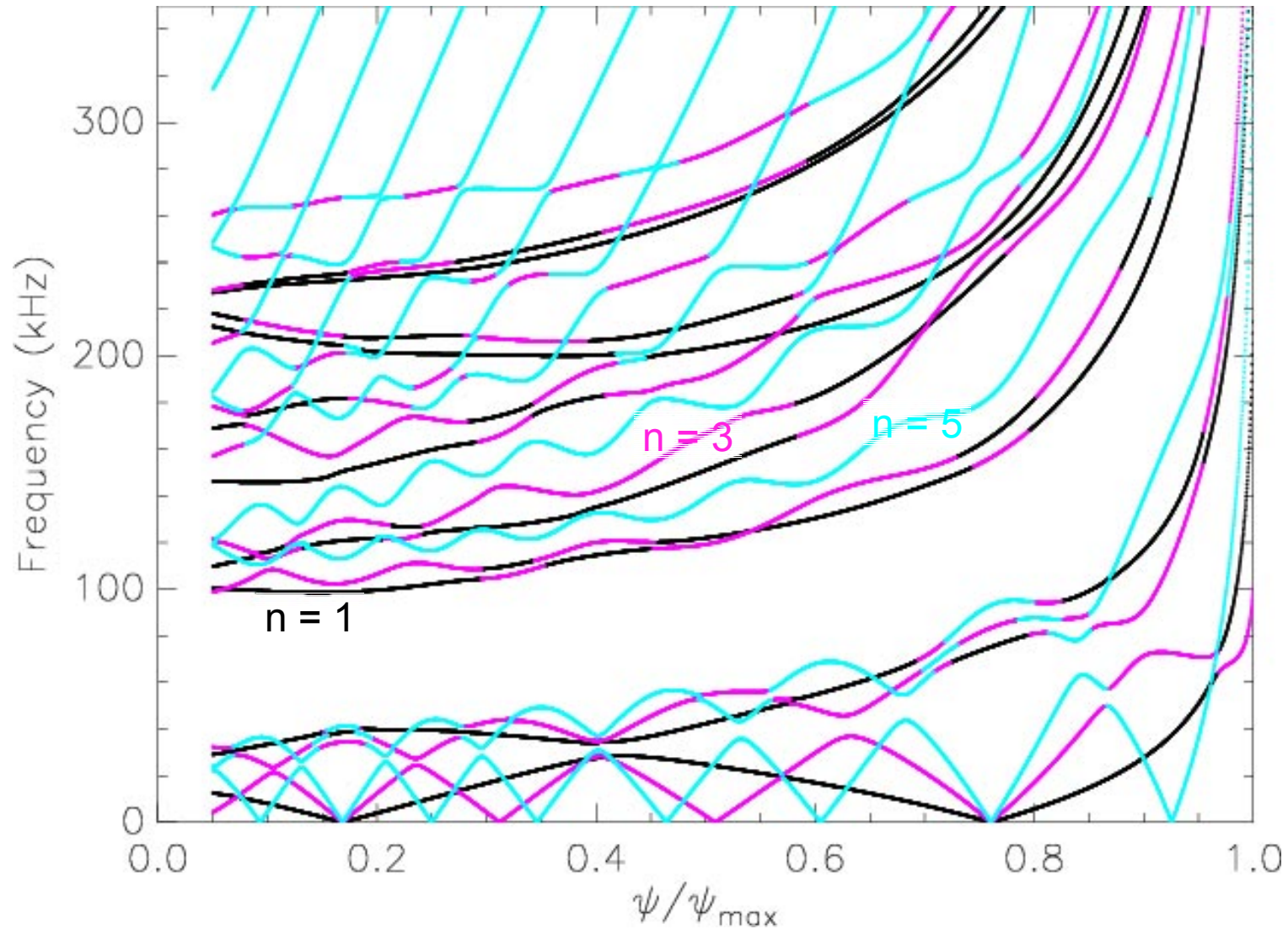


Axisymmetric limit
dominant **poloidal** mode is color coded



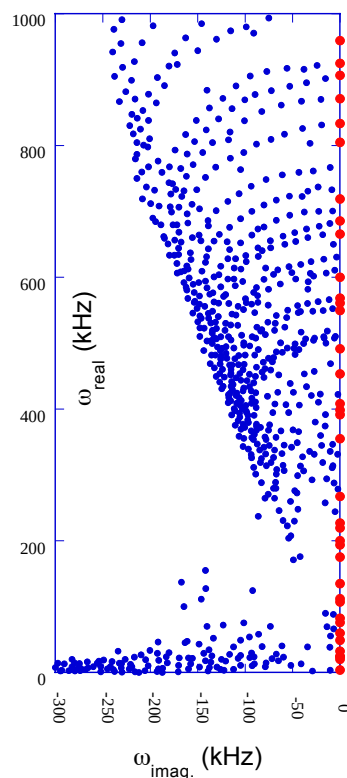
QPS (2 field periods, $R/\langle a \rangle = 2.7$, quasi-poloidal symmetry)

$n = 1$ mode family stellarator continua
dominant toroidal mode is color coded

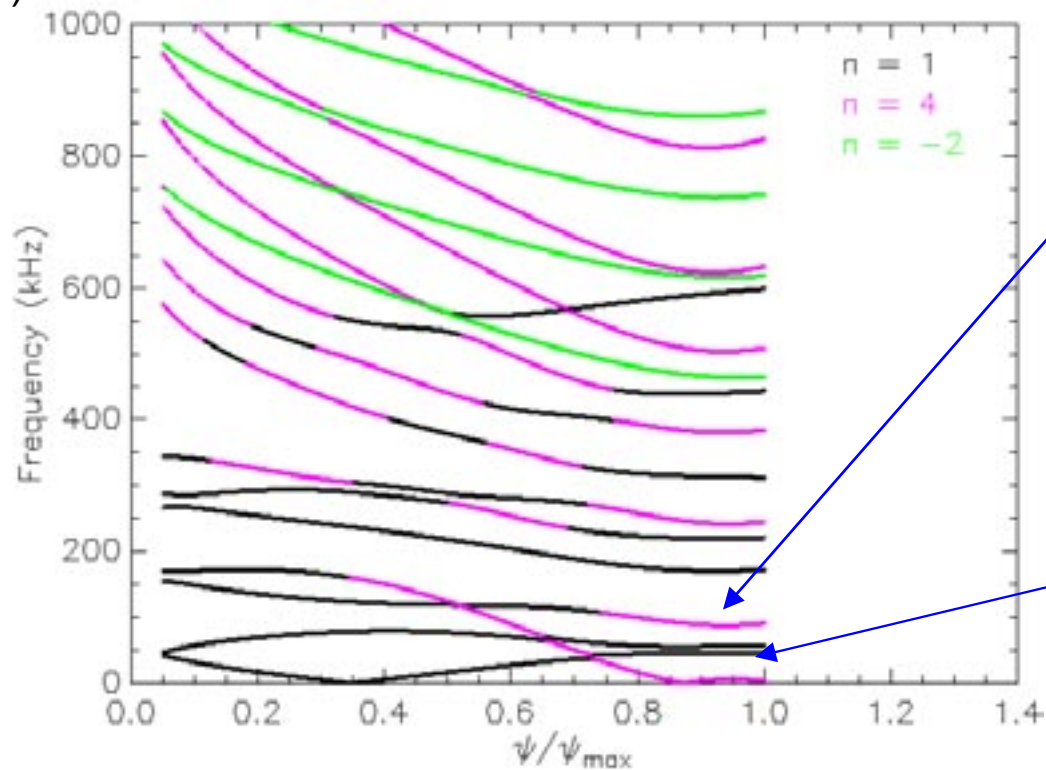


Recently the STELLGAP code has been upgraded to solve the 3 coupled equations for the stable Alfvén mode structure in compact 3D configurations

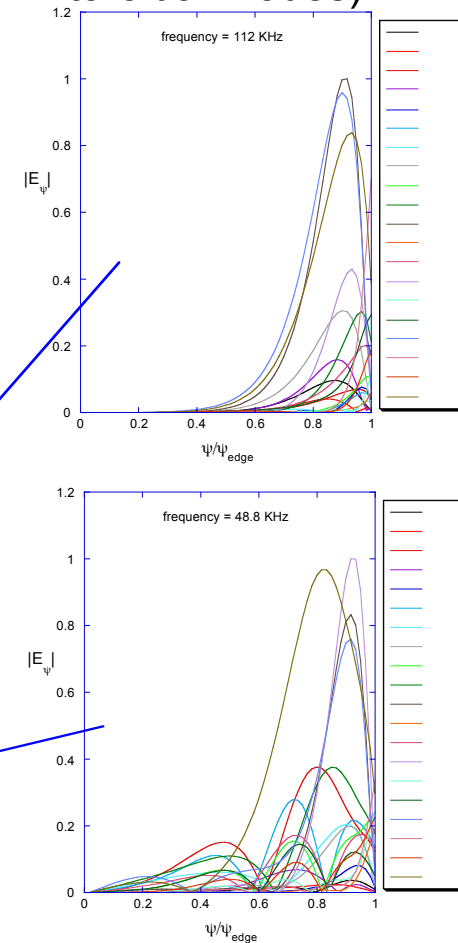
Discrete 3D Alfvén frequency spectrum
(blue = damped
red = neutrally stable)



Alfvén Continuum for QA-symmetric stellarator
(color indicates dominant toroidal mode number)



AE radial mode Structure (multiple toroidal modes)



Conclusions

- QPS optimized design provides good confinement at very low aspect ratio
- New physics areas to be tested:
 - Equilibrium robustness for compact geometry
 - Improved neoclassical transport
 - Anomalous transport at low A regime
 - Lowered poloidal flow damping
 - Beta limits
- Substantial flexibility from varying modular coil currents
 - Factor of 50 variation in low collisionality transport
- Good energetic particle confinement at high β
 - Self-induced isodynamic configuration
- STELLGAP code developed for stellarator Alfvén continua and discrete eigenmodes